

# Equations and logic on words

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# Overview

Logic on words

Duality

Equations between words

Equations between languages

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Equations between words

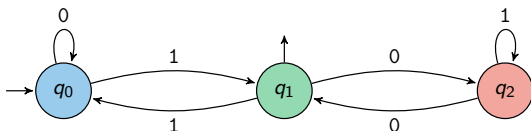
Equations between languages

## Regular languages: example

- ▶ A **programming problem**: given a natural number in binary,  $w \in \{0, 1\}^*$ , determine if  $w$  is congruent 1 modulo 3.

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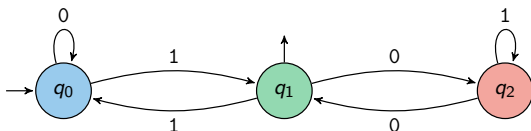
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- ▶ **Solution 1**: a (deterministic) automaton  $A$ :



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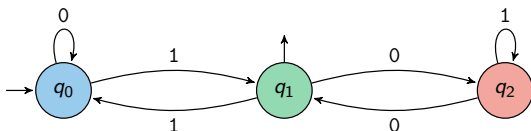
- ▶ **Solution 2**: a homomorphism  $\varphi: \{0, 1\}^* \rightarrow S_3$  defined by

$$0 \mapsto (12), \quad 1 \mapsto (01).$$

Answer **yes** iff the permutation  $\varphi(w)$  sends 0 to 1.

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Answer **yes** iff  $A$  accepts  $w$ .

- ▶ **Solution 3**: an MSO sentence  $\varphi$ :

$$\exists Q_0 \exists Q_1 \exists Q_2 (Q_0(\text{first}) \wedge Q_1(\text{last}) \wedge$$

$$\forall x [0(x) \wedge Q_0(x) \rightarrow Q_0(Sx)] \wedge [1(x) \wedge Q_0(x) \rightarrow Q_1(Sx)] \wedge \dots).$$

Answer **yes** iff  $w$  satisfies the formula  $\varphi$ .

## Regular languages

Regular languages are subsets  $L \subseteq \Sigma^*$  which are ...

- ▶ **recognizable** by a finite automaton;
- ▶ **invariant** under a finite index monoid congruence;
- ▶ **definable** by a monadic second order sentence.

Myhill-Nerode 1958; Büchi 1960



## Monoids and finite index congruences

- ▶ A **monoid** is a set  $M$  equipped with an associative binary operation and a unit.
- ▶ The set  $\Sigma^*$  of finite words is a **free monoid**.
  - ▶ multiplication is concatenation;
  - ▶ unit is the empty word  $\epsilon$ ;
- ▶ A **congruence** on  $M$  is an equivalence relation  $\theta$  which respects multiplication.
  - ▶ The quotient  $M/\theta$  is again a monoid;
  - ▶ A congruence  $\theta$  has **finite index** if  $M/\theta$  is finite.

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  - ▶ A congruence  $\theta$  has **finite index** if  $M/\theta$  is finite.
- ▶ Any language  $L \subseteq \Sigma^*$  has an associated **syntactic congruence**,  $\theta_L$ , i.e., the finest congruence under which  $L$  is **invariant**:
$$w \in L \text{ and } w\theta_L w' \text{ implies } w' \in L.$$
- ▶  $L$  is called **regular** iff  $\theta_L$  has finite index.

## Logic on words

- ▶ **Syntax.** **Monadic Second Order** (MSO) logic over  $<, \Sigma$ .
  - ▶ Basic propositional connectives:  $\wedge, \neg$ .
  - ▶ Quantification over first-order variables  $x, y, \dots$  and monadic second-order variables  $P, Q, \dots$ .
  - ▶ Relational signature:  $x < y, a(x)$  for  $a \in \Sigma$ .

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  - ▶ Relational signature:  $x < y, a(x)$  for  $a \in \Sigma$ .
  
- ▶ **Semantics.** A word  $w = a_1 \dots a_n$  gives a **structure**  $W$ .
  - ▶ The underlying set of  $W$  is  $\{1, \dots, n\}$ .
  - ▶ The natural linear order  $<^W$  interprets the binary predicate  $<$ .
  - ▶ For every letter  $a \in \Sigma$ ,  $a^W := \{i \in \{1, \dots, n\} : a_i = a\}$ .

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- ▶ For a sentence  $\varphi$ ,  $L_\varphi := \{w \in \Sigma^* \mid w \models \varphi\}$ .
- ▶ A language  $L$  is regular iff  $L = L_\varphi$  for some  $\varphi$  in MSO.
- ▶ Shortcuts such as  $S(x)$ ,  $\text{first}$ ,  $\text{last}$ ,  $\subseteq$ , ... are MSO-definable.

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Logic on words

**Duality**

Equations between words

Equations between languages

# Duality

**Key insight.** The connection between MSO logic on words and monoids is an instance of Stone-Jónsson-Tarski duality.

Algebra	Space
Lindenbaum algebra of a logic	Canonical model
Residuated Boolean algebra of regular languages	(Pro)finite monoid

Gehrke, Grigorieff, Pin 2008

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## Profinite monoids and their clopens

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- ▶ A **profinite monoid** is a monoid equipped with a Boolean topology in which multiplication is continuous.
- ▶ Also: a limit of finite monoids with the discrete topology.
  
- ▶ A subset of a profinite monoid is **clopen** iff it is recognizable, i.e., invariant under a finite index *topological* congruence.

## Duality and profinite monoids

- ▶ There are natural **division** operators on the Boolean algebra of clopen sets of a profinite monoid:

$$K \setminus L = \{m \mid mK \subseteq L\}, \quad L / K = \{m \mid Km \subseteq L\}.$$

- ▶ These '**multiplicative operators**' are dual to the monoid's **multiplication**,  
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more precisely, to two distinct ternary **relations** derived from it.

Under this duality...

- ▶ the **free profinite monoid** is dual to the residuated Boolean algebra of **all regular languages**;
- ▶ **quotients** of the free profinite monoid correspond to **subalgebras** of regular languages that are ideals for division.

# Duality

**Key insight.** The connection between MSO logic on words and monoids is an instance of Stone-Jónsson-Tarski duality.

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## Logic and monoids

A language  $L \subseteq \Sigma^*$  is **MSO-definable**

if, and only if,

$L$  is invariant under a **finite index** monoid congruence.

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A language  $L \subseteq \Sigma^*$  is FO-definable

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A language  $L \subseteq \Sigma^*$  is FO-definable

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A congruence  $\theta$  on  $\Sigma^*$  is called aperiodic if  $\Sigma^*/\theta$  does not have non-trivial subgroups.

Schützenberger 1965; McNaughton, Papert 1971

$\omega$

In a **finite** monoid, any element  $x$  has a unique idempotent,  $x^\omega$ , in its **orbit**  $\{x, x^2, x^3, \dots\}$ .

**Fact.** A finite monoid is **aperiodic** iff it validates the **equation**

$$x^\omega = x^\omega x.$$

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In a **profinite** monoid, any element  $x$  has a unique idempotent,  $x^\omega$ , in its **orbit-closure**  $\overline{\{x, x^2, x^3, \dots\}}$ .

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**Fact.** A profinite monoid is **aperiodic** iff it validates the **equation**

$$x^\omega = x^\omega x.$$

The quotient of the free profinite monoid obtained by enforcing  $x^\omega = x^\omega x$  is the **free pro-aperiodic monoid**.

This is the dual space of the **residuated algebra of FO-definable languages** (instance of Eilenberg-Reiterman).

## Logic on words: example revisited

$$\varphi: \exists P [ P(\text{first}) \wedge \neg P(\text{last}) \wedge \forall x (P(x) \leftrightarrow \neg P(S(x))) ].$$

►  $L_\varphi = \{w : w \text{ has even length}\}.$

Question. Does an equivalent first order formula exist for  $\varphi$ ?

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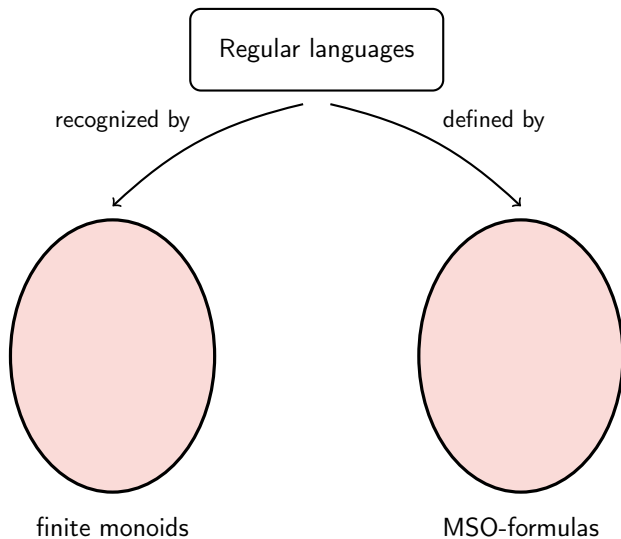
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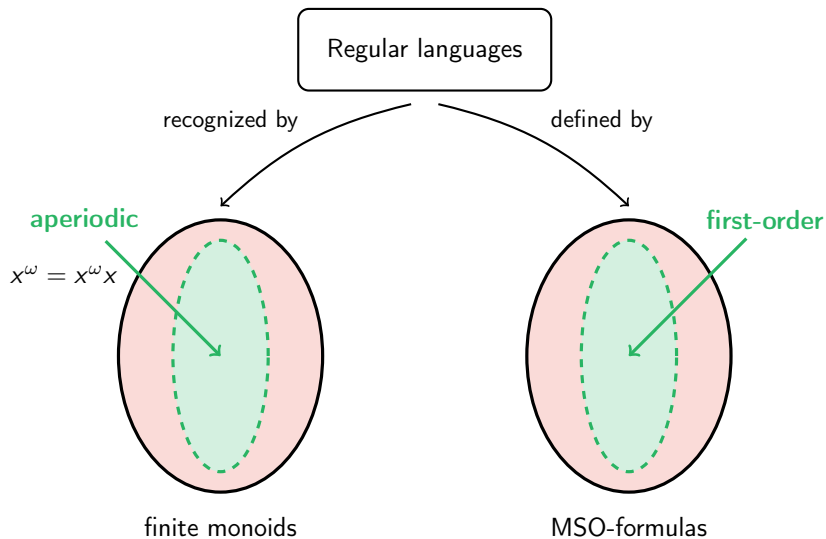
No, because:

- ▶ any quotient under which  $L_\varphi$  is invariant must contain a subgroup  $\mathbb{Z}_2$ ; or:
- ▶ for any generator  $a$  of the free profinite monoid, we have  $a^\omega \in \widehat{L_\varphi}$  and  $a^\omega a \notin \widehat{L_\varphi}$ , so  $L_\varphi$  'falsifies' the equation  $x^\omega = x^\omega x$ .

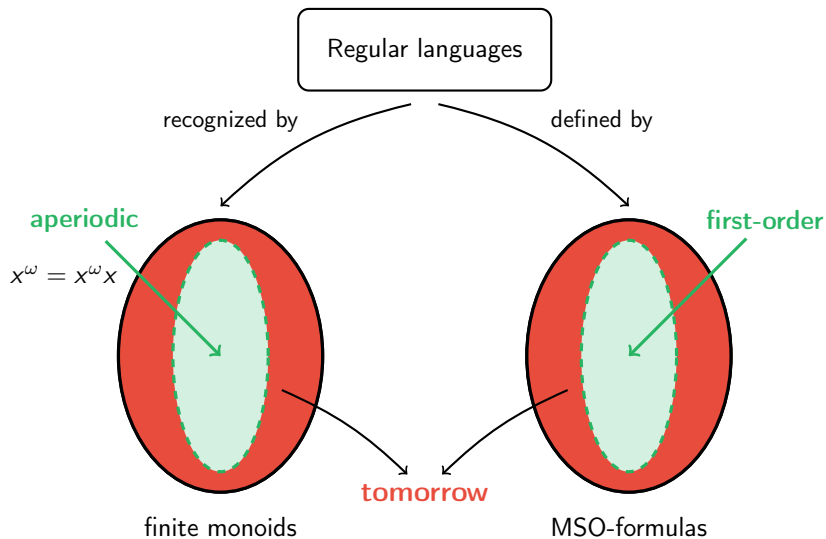
# Monoids and logic



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# The free profinite aperiodic monoid

## Theorem.

The free profinite aperiodic monoid

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The topological monoid of ultrafilters of FO-definable languages

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The topological monoid of  $\equiv_{FO}$ -classes of pseudo-finite words.

G. & Steinberg STACS 2017

## Pseudo-finite words

- ▶ By a **pseudo-finite word** we mean a first-order structure  $(W, <, (a^W)_{a \in \Sigma})$  that is a model of the theory of finite words.
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## Ultrafilters and pseudo-finite words

- ▶ An ultrafilter  $\mathcal{U}$  of FO-definable languages uniquely determines an  $\equiv_{FO}$ -class  $[W]$  of pseudo-finite words.
- ▶ This is a homeomorphism between the ultrafilter space and the space of types.
- ▶ There is a natural topological monoid multiplication on types:

$$\text{if } W \equiv W' \text{ then } VW \equiv VW' \text{ and } WV \equiv W'V.$$

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## An application: the aperiodic $\omega$ -word problem

**Decision problem.** Given two terms in  $\cdot$  and  $()^\omega$ , are they equal in every finite aperiodic monoid?



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## Realizing $\omega$ -words as $\omega$ -saturated models

- ▶ A countable model is  $\omega$ -saturated if it realizes all the complete types over a finite parameter set.
- ▶ The following pseudo-finite words are  $\omega$ -saturated:
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- ▶ Crucially, substitutions of  $\omega$ -saturated words into  $\omega$ -saturated words are again  $\omega$ -saturated.
- ▶ Thus, any  $\omega$ -term can be realized as an  $\omega$ -saturated word.
- ▶ Using the uniqueness of countable  $\omega$ -saturated models, equality of  $\omega$ -terms reduces to isomorphism of these words, which we know is decidable.

Hüschentz & Kufleitner STACS 2013;

G. & Steinberg STACS 2017

# Overview

Logic on words

Duality

Equations between words

Equations between languages

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- ▶ A  $T$ -structure  $A$  is **existentially closed**\* if any existential sentence that becomes true in some  $T$ -structure extending  $A$  already holds in  $A$ .

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- ▶ A  $T$ -structure  $A$  is **existentially closed**\* if any existential sentence that becomes true in some  $T$ -structure extending  $A$  already holds in  $A$ .
- ▶ This property is often **first order definable**:
  - ▶ Linear orders without endpoints: density;
  - ▶ Boolean algebras: atomless;
  - ▶ Heyting algebras: mimic fields, use uniform interpolation.

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## Model companion

A first order theory  $T^*$  which captures the existentially closed models for a universal theory  $T$  is called a **model companion** of  $T$ .

### **Theorem.**

The theory  $T^*$ , if it exists, is the unique theory such that:

1.  $T$  and  $T^*$  believe the same universal sentences;
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Robinson, 1963

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### **Theorem.**

The theory  $T^*$ , if it exists, is the unique theory such that:

1.  $T$  and  $T^*$  believe the same universal sentences;  
 $T$  and  $T^*$  are co-theories
2.  $T^*$  believes any sentence to be equivalent to an existential sentence.  
 $T^*$  is model complete

Robinson, 1963

## Model companions and languages

### Theorem.

The first order theory  $T^*$  of an algebra for word languages,  $\mathcal{P}(\omega)$ ,

is the model companion of

a theory  $T$  of algebras for a linear temporal logic.

## Proof idea: set-up

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- ▶ Enrich the Boolean algebra  $\mathcal{P}(\omega)$  with **temporal operators**:
  - ▶  $\mathbf{X}a := \{t \in \omega \mid t + 1 \in a\}$ ,
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**Theorem.** The theory  $T^*$  of  $\mathcal{P}(\omega)$  is the model companion of  $T$ .

i.e.,  $T^*$  is model complete and  $T^*$  is a co-theory of  $T$ .



## Proof idea: co-theories

- ▶ Need to show: any equation of the form  $t(\bar{p}) = \top$  that is valid in  $\mathcal{P}(\omega)$  is valid in all  $T$ -structures.
- ▶ The theory  $T$  axiomatizes linear temporal logic on  $\mathbf{X}$ ,  $\mathbf{F}$ ,  $\mathbf{I}$ :
  - ▶ Boolean algebra axioms,  $\mathbf{X}$  is a homomorphism,  $\mathbf{F}a$  is the least fix point of the function  $x \mapsto a \vee \mathbf{X}x$ .
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- ▶ If  $t(\bar{p}) \neq \top$  in some  $T$ -structure  $A$ , consider its dual space  $X$ .
- ▶ By carefully using filtration-type techniques, we may read off from  $X$  a valuation  $\bar{p} \rightarrow \mathcal{P}(\omega)$  which invalidates  $t(\bar{p}) = \top$ .

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- ▶ Describe the automaton  $A$  with an **existential first order formula**  $\varphi'$  in the temporal algebra  $\mathcal{P}(\omega)$ .
- ▶ **Conclusion.**  $\mathcal{P}(\omega)$  believes that any first order formula  $\varphi$  is equivalent to an existential formula  $\varphi'$ .



# Model companions and languages

## Theorem.

The first order theory  $T^*$  of an algebra for word languages,  $\mathcal{P}(\omega)$ ,

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Ghilardi & G. JSL 2017

# Model companions and languages

## Theorem.

The first order theory  $T^*$  of an algebra for tree languages,  $\mathcal{P}(2^*)$ ,

is the model companion of

a theory  $T$  of algebras for a fair computation tree logic.

Ghilardi & G. LICS 2016

# The future

- ▶ From FO to MSO
- ▶ Model companions for more logics
- ▶ Using ordered spaces