# Binary Relations, Algebras, Games

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## **Binary Relations**

Special cases:

- unary functions (partial or total), linear transformations,
- injections,
- surjections,
- permutations.

## **Constants and Operations**

For functions

 $\mathsf{0},\mathsf{1}',\cdot, ext{;},D,R$ 

For relations, also

 $1,+,-,{}^{\smile},*$ 

**E.g. Permutations** 

$$(\mathit{Perms}, 1', {}^{\smile}, ;) \rightsquigarrow groups$$

Every group is isomorphic to a set of permutations with identity, converse, composition.

Every set of permutations with identity, closed under converse and composition forms a group.

#### **Classical Representations**

Algebra  $\mathcal{A} = (A, ops)$ . Let X be a class of relations, e.g. total functions. A *representation of type* X is injection  $h : A \to \wp(D \times D) \cap X$  respecting operations

E.g.

$$(x,y) \in h(a;b) \iff \exists z((x,z) \in h(a) \land (z,y) \in h(b))$$
  
 $(x,y) \in h(1') \iff x = y$ 

 $\mathbf{R}_X(ops) = \{\mathcal{A} : \exists representation of type X of \mathcal{A}\}.$ 

#### **Problems**

- $\exists$  finite set of axioms  $\mathcal{A} \models \Sigma \iff \mathcal{A} \in \mathbf{R}_X(ops)$ ?
- Is it decidable whether a finite  $\mathcal{A}$  is in  $\mathbf{R}_X(ops)$ ?
- If  $\mathcal{A} \in \mathbf{R}_X(ops)$  is finite, does it have a representation on a finite base?

**Relation Algebra [Tarski 1940s]** 

$$\mathcal{A} = (A, 0, 1, +, -, 1', \widetilde{}, ;)$$

- (A, 0, 1, +, -) is a boolean algebra
- $(A, 1', \overset{\smile}{},;)$  is an involuted monoid
- additive operators
- triangle law  $a; b \cdot c = 0 \iff a^{\smile}; c \cdot b = 0$

## Examples

Type of rep.	Operators	Axioms	FRP	Decidable
Perms	$\{1', , , ;\}$	Group	Yes	Yes
Funcs/Rels	{;}	Assoc.	Yes	Yes
Funcs/Rels	$\{1', ;\}$	Monoid	Yes	Yes
Relations	$\{0, 1, +, -\}$	BA	Yes	Yes
Injections	$\{D, R, ;\} \subseteq S \subseteq \{D, R, 0, 1', \cdot, ;\}$	$\infty$	No	No
Relations	$\{+, \cdot, 1', ;\} \subseteq S \subseteq RA$	$\infty$	No	No
	$\{\cdot, \stackrel{\smile}{}, ;\} \subseteq S \ \subseteq RA$			
	$\{+,\cdot,;\}\subseteq S\ \subseteq RA\setminus\{\smile\}$			
	$\{\leq, -, ;\} \subseteq S \ \subseteq RA \setminus \{\smile\}$			
Relations	$\{1', \cdot, ;\}$	$\infty$	No	?
Relations	$\{-,;\}$	?	?	?

## **Atom Structure**

If boolean part is atomic (e.g. if  $\mathcal{A}$  is finite)

- which atoms are below identity?
- converse of each atom?
- composition of each pair of atoms?

determines the operators.

For composition, list the *forbidden triples* (a, b, c) :  $a; b \cdot c = 0$ .

#### **Representation of a Relation Algebra**

$$\mathcal{A} = (A, 0, 1, +, -, 1', {}^{\smile}, ;)$$
  
 $h : \mathcal{A} \to \wp(X \times X)$ 

such that

$$a \neq 0 \implies h(a) \neq \emptyset \text{ (h is 1-1)}$$

$$h(0) = \emptyset$$

$$h(a+b) = h(a) \cup h(b)$$

$$h(-a) = h(1) \setminus h(a)$$

$$h(1') = \{(x,x) : x \in X\}$$

$$(x,y) \in h(a^{\frown}) \iff (y,x) \in h(a)$$

$$(x,y) \in h(a;b) \iff \exists z [(x,z) \in h(a) \land (z,y) \in h(b)]$$
Here representation  $h(1) = X \times X$ 

In a square representation  $h(1) = X \times X$ .

#### Point Algebra (temporal reasoning)

3 *atoms* 1', L, G (so 8 elements)

where 1 = 1' + L + G,  $(1')^{\smile} = 1'$ ,  $L^{\smile} = G$ ,  $G^{\smile} = L$ .

Representation over  $\mathbb{Q}$ .

$$h(L) = \{(q, r) : q < r\}$$

## Outline of rest of talk

- How can you tell if a relation algebra is representable?
- Two player games to test representability.
- Obtaining first-order axioms from the games.
- Constructing relation algebras with required properties.

## **Characterising representability**

Can consider various types of representations: classical, relativized, complete, etc. One approach: find first-order theory (or better, an equational theory)  $\Delta$  such that

 $\mathcal{A} \models \Delta \iff \mathcal{A}$  has approp. rep.

This may or may not be possible, and it is almost always fearsomely difficult.

### **Characterising representability by games**

Our approach: devize two player game G such that

 $\exists$  has a w.s. in  $G(\mathcal{A}) \iff \mathcal{A}$  has an approp. rep.

Actually, in many cases we can use these games to obtain first-order theories as above.

## Abelarde and Héloïse



#### **Representation — Finite Algebra Case**

 $(x, y) \in h(1) \Rightarrow \exists ! \text{ atom } \alpha(x, y) \in h(\alpha).$ If *h* is a square, we can define a labelled graph  $(X, \lambda)$  by

$$\lambda \quad : \quad X \times X \to At(\mathcal{A})$$
  
 $\lambda(x,y) = \bigwedge \{a \in \mathcal{A} : (x,y) \in h(a)\}$ 

Conversely, if  $\lambda : X \times X \to At(\mathcal{A})$  satisfies

$$\lambda(x,y) \leq 1' \iff x \equiv y$$
  
 $\lambda(x,y)^{\smile} = \lambda(y,x)$   
 $\lambda(x,z); \lambda(z,y) \geq \lambda(x,y)$ 

and for all atoms  $\alpha, \beta \in \mathsf{At}(\mathcal{A})$ ,

$$\lambda(x,y) \le \alpha; \beta \Rightarrow \exists z \ [\lambda(x,z) = \alpha \land \lambda(z,y) = \beta]$$

then  $\lambda$  defines a square representation h, by

$$h(a) = \{(x, y) : a \ge \lambda(x, y)\}$$

Atomic *A*-network:  $N = (X, \lambda)$ 

$$\lambda: X \times X \to At(\mathcal{A})$$

satisfies

$$\lambda(x,y) \leq 1' \iff x = y$$
  
 $\lambda(x,y) \cong \lambda(y,x)$   
 $\lambda(x,z); \lambda(z,y) \geq \lambda(x,y)$ 

But maybe there are nodes x, y and atoms a, b such that

$$\lambda(x,y) \leq a$$
; b yet  $\exists z \ [\lambda(x,z) = a \land \lambda(z,y) = b]$ 

Then (x, y, a, b) is a *defect* of the atomic network.

Write N instead of X or  $\lambda$ .

#### **Games on atomic** *A***-networks**

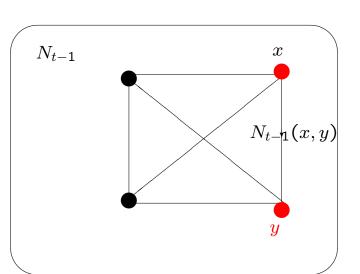
Two players:  $\forall$  and  $\exists$ . The game  $G_n(\mathcal{A})$  has *n* rounds (where  $n \leq \omega$ ). A play of the game will be

$$N_0 \subseteq N_1 \subseteq \ldots \subseteq N_{t-1} \subseteq N_t \subseteq \ldots \quad (t < n)$$

Round 0:

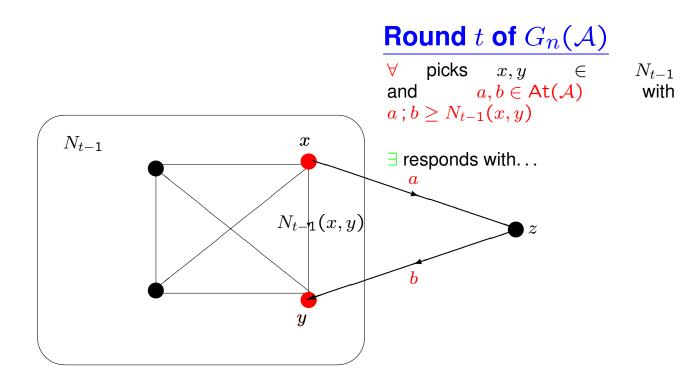
- $\forall$  picks  $a_0 \in At \mathcal{A}$ .
- $\exists$  plays an atomic network  $N_0$  with  $a_0$  occurring as a label in it.

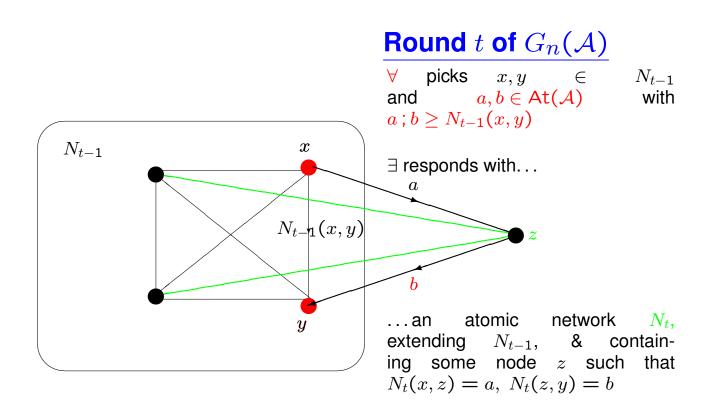
Round t  $(1 \le t < n)$ : Suppose that the current atomic network at the start of the round is  $N_{t-1}$ . Play goes as follows:



# Round t of $G_n(\mathcal{A})$

 $egin{array}{lll} orall & \mathsf{picks} & x,y \in \ \mathsf{and} & a,b \in \mathsf{At}(\mathcal{A}) \ a\,;b \geq N_{t-1}(x,y) \end{array}$  $N_{t-1}$  with





## Who wins?

In any round, if  $\exists$  cannot play, or if she plays a labelled graph that fails to be an atomic network, then  $\forall$  wins.

If  $\exists$  plays a legitimate atomic network in each round then she wins.

#### **Characterising representability for finite RAs, by games**

**Theorem 1** Let A be a finite relation algebra.

- 1.  $A \in \mathbf{RRA}$  iff  $\exists$  has a winning strategy in  $G_{\omega}(A)$ .
- 2.  $\exists$  has a winning strategy in  $G_{\omega}(\mathcal{A})$  iff she has one in  $G_n(\mathcal{A})$  for all finite n.
- 3. One can construct first-order sentences  $\sigma_n$  for  $n < \omega$  (independently of  $\mathcal{A}$ ) such that  $\mathcal{A} \models \sigma_n$  iff  $\exists$  has a winning strategy in  $G_n(\mathcal{A})$ .

Conclude that for a finite relation algebra  $\mathcal{A}$ ,

 $\mathcal{A} \in \mathbf{RRA} \iff \mathcal{A} \models \{\sigma_n : n < \omega\}.$ 

#### The axioms $\sigma_n$ (sketch)

Given an atomic network N, and  $k < \omega$ , we write an axiom  $\tau_k(N)$  saying that  $\exists$  can win  $G_k(\mathcal{A})$  starting from N. We go by induction on k. All

quantifiers are implicitly relativised to atoms.

$$\tau_{0}(N) = \bigwedge_{x \in N} \left( N(x, x) \leq 1' \\ \wedge \bigwedge_{y \in N \setminus \{x\}} N(x, y) \not\leq 1' \right) \\ \wedge \bigwedge_{x, y \in N} N(x, y) = N(y, x)^{\smile} \\ \wedge \bigwedge_{x, y, z \in N} N(x, y) \leq N(x, z); N(z, y).$$

$$\tau_{k+1}(N) = \bigwedge_{\substack{x,y \in N \\ (\tau_k(N') \land \bigvee_{z \in N'} (N'(x,z) = a) \\ \land N'(z,y) = b)}} \forall a, b \left( N(x,y) \leq a; b \to \exists N' \supseteq N \right)$$

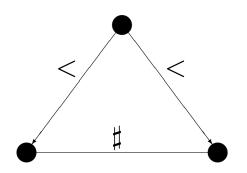
 $\sigma_k = \forall a_0 \exists N(\tau_{k-1}(N) \land \bigvee_{x,y \in N} N(x,y) = a_0).$ 

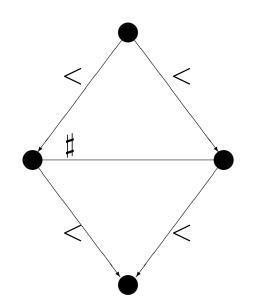
4 atoms: 1', <, >, #.

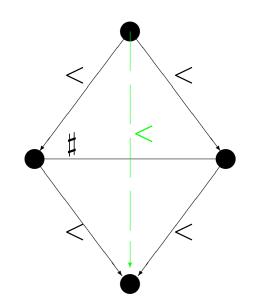
 $1^{\prime\smile}=1^{\prime},\quad <^{\smile}=>,\quad >^{\smile}=<,\quad \sharp^{\smile}=\sharp.$ 

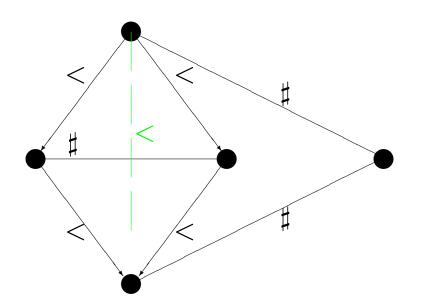
$$\begin{array}{c|ccccc} ; & < & > & \# \\ \hline < & < & 1 & (< + \#) \\ > & 1 & > & (> + \#) \\ \# & (< + \#) & (> + \#) & - \# \end{array}$$

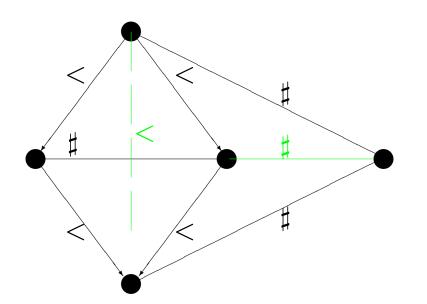


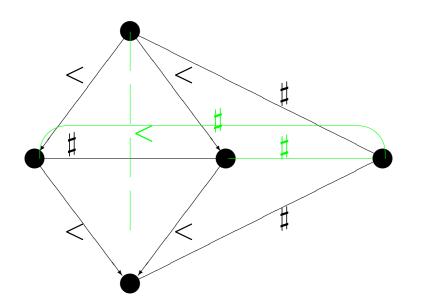












## $\forall$ wins.

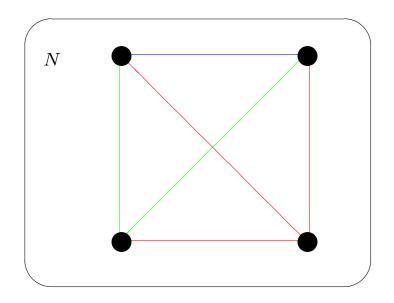
#### Maddux algebra

4 atoms: 1', *r*, *b*, *g*.

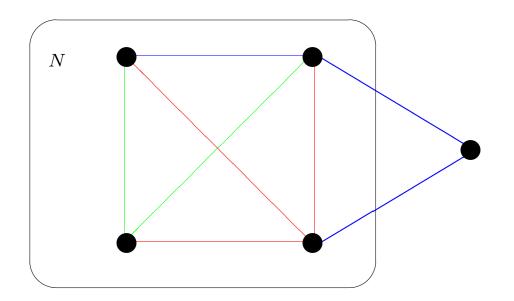
 $x^{\smile} = x$  for all atoms x ('symmetric algebra')

All triples are consistent except Peircean transforms of: (1', a, a') for  $a \neq a'$ , and (r, b, g).

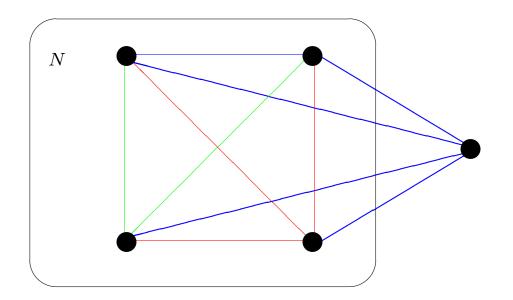
## **Maddux algebra (**\forall 's first kind of move)



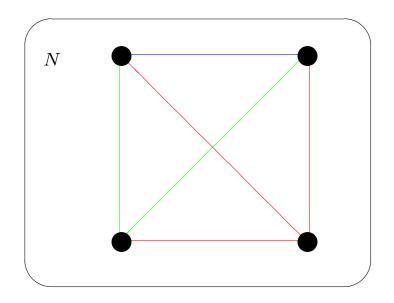
# **Maddux algebra (**\forall 's first kind of move)



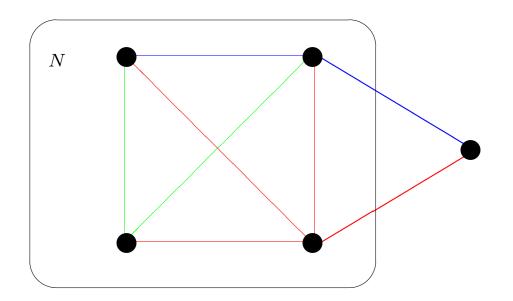
# **Maddux algebra (**\forall 's first kind of move)



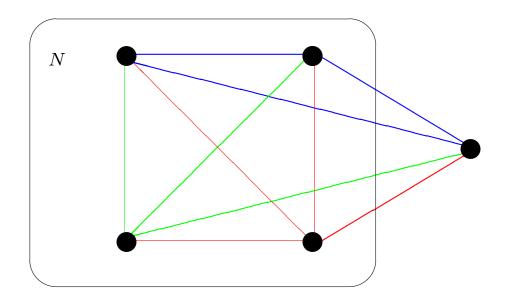
# Maddux algebra (∀'s second kind of move)



# Maddux algebra (∀'s second kind of move)



# Maddux algebra (∀'s second kind of move)



#### **Hence**

1. McKenzie's algebra  $\mathcal{K} \not\in \mathbf{RRA}$ .

So **RRA**  $\subset$  **RA**, as Lyndon (1950) showed.

In fact,  $\mathcal{K}$  is one of the smallest non-representable relation algebras. All relation algebras with  $\leq$  3 atoms are representable.

2. The Maddux algebra  $\mathcal{M} \in \mathbf{RRA}$ .

Exercise: show that if  $(X, \lambda)$  is any representation of  $\mathcal{M}$ , then X is infinite.

This is perhaps surprising, given that  $\mathcal{M}$  is symmetric.

### **Infinite Case**

For infinite relation algebras there may not be atoms. For atomic  $\mathcal{A}$  with countably many atoms:

 $\exists$  has winning strategy in  $G_{\omega}(\mathcal{A}) \iff \mathcal{A} \in \mathbf{CRA}$ .

Could define a slightly different game and get axiomatisation of **RRA**. Alternatively,

$$\mathcal{A} \in \mathbf{RRA} \iff \mathcal{A}^+ \in \mathbf{CRA}$$

so to determine if A is representable, play the atomic game over the *canonical extension*  $A^+$ .

### **Constructing Relation Algebras**

We want to construct algebras  $\mathcal{A}$  and we want to control who will win  $G_n(\mathcal{A})$ .

### Ehrenfeucht–Fraïssé Game

Let A, B be structures in a binary signature (e.g. graphs). We can easily test whether positive existential properties of A hold in B or not — much easier than checking if an **RA** is representable.

# $\mathbf{EF}_r(A,B)$

Game with r rounds ( $r \leq \omega$ ).

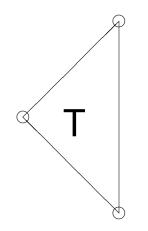
# **Rules of** $EF_r(A, B)$

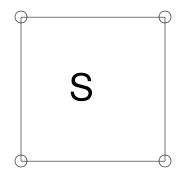
- $\forall$  has pebbles  $\alpha_0, \alpha_1, \ldots$
- $\exists$  has corresponding pebbles  $\beta_0, \beta_1, \ldots$
- Initially ∀ places α<sub>0</sub> at some a ∈ A, ∃ must respond by picking b ∈ B and placing β<sub>0</sub> at b.
- In each subsequent round  $\forall$  can place a new pebble  $\alpha_i$  on some  $a_i \in A$ ,  $\exists$  must choose  $b_i \in B$  and place  $\beta_i$  at  $b_i$ .

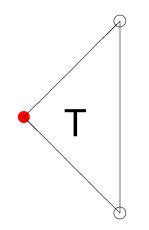
- $\forall$  wins if  $\alpha_i, \alpha_j, \beta_i, \beta_j$  are at  $a_i, a_j, b_i, b_j$  resp.,  $(a_i, a_j) \in r^A$  but  $(b_i, b_j) \notin r^B$  (some binary predicate r).
- After r rounds, if  $\forall$  hasn't won so far then  $\exists$  is the winner.
- Can assume  $\forall$  never puts two pebbles on same spot.

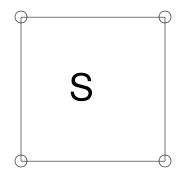
**Rules of**  $EF_r^p(A, B)$ 

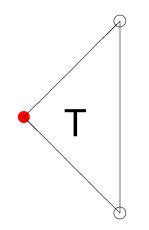
- Similar, but each player has only *p* pebbles.
- After *p* rounds, ∀ must pick up a pebble in play and can re-use it (∃ does the same).

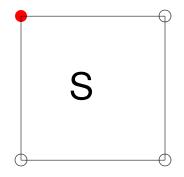


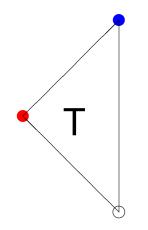


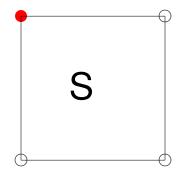


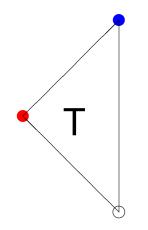


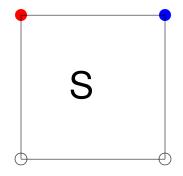


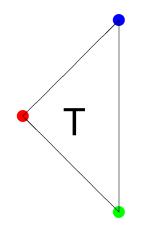


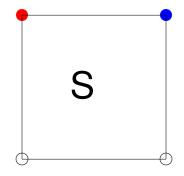


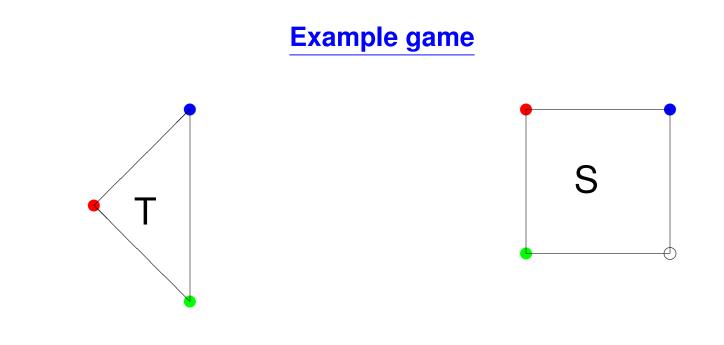








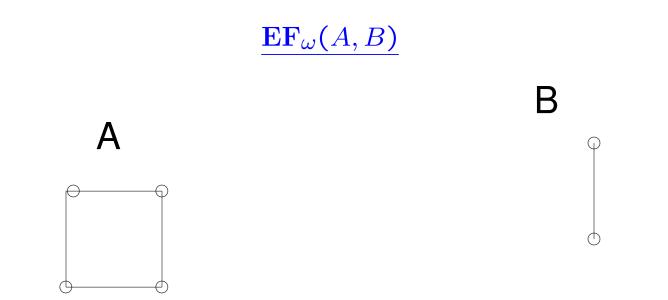


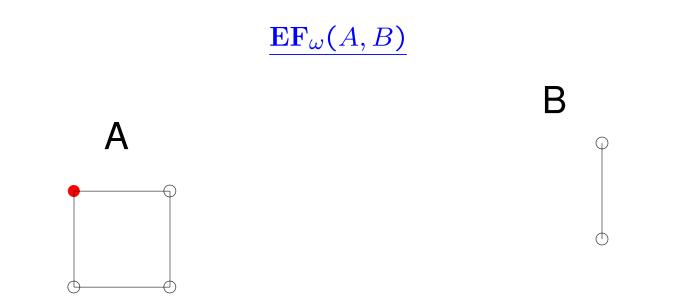


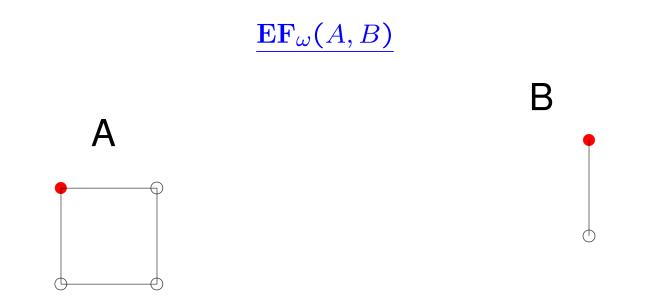
 $\forall$  wins.

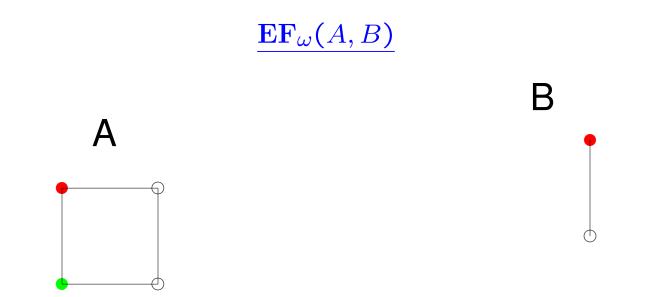
But  $\forall$  needs 3 turns with 3 different pebbles to win.

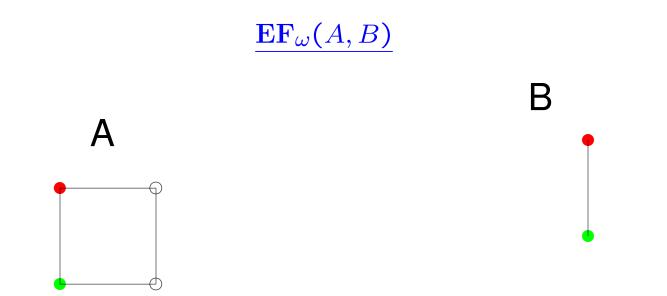
- $\forall$  has winning strategy in  $\mathbf{EF}_3^3(T, S)$ .
- $\exists$  has winning strategy in  $\mathbf{EF}_r^2(T, S)$ .

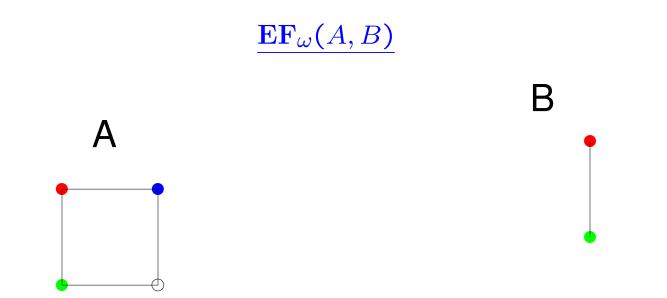


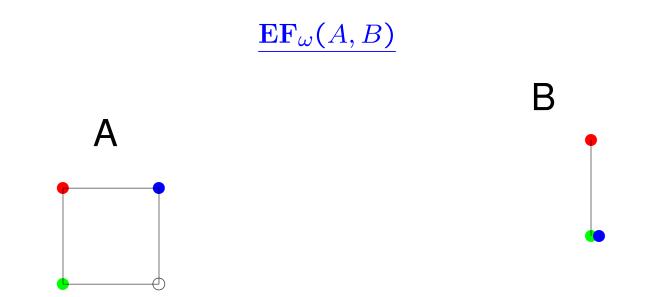


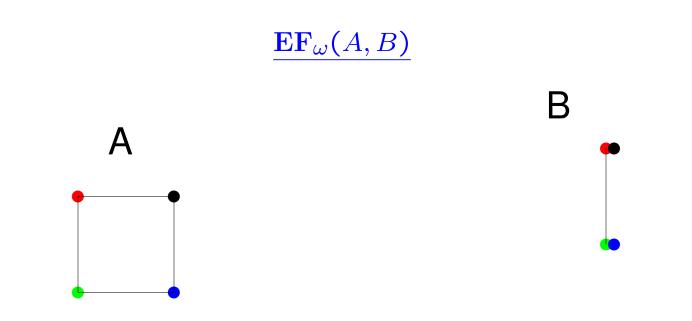




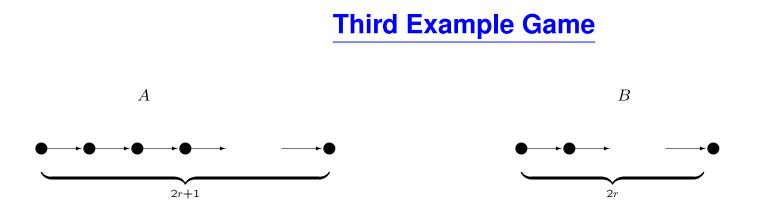








# ∃ wins.



- Successor relation.
- $\forall$  has winning strategy in  $EF_{r+1}^2(A, B)$ .
- $\exists$  has winning strategy in  $EF_r^2(A, B)$ .

With three pebbles on (transitive) linear orders can do binary search
 → ∀ can win on linear orders of different lengths, < 2<sup>r</sup>.

Fourth example game

$$A = \mathsf{K}_{\omega}, \ B = \bigcup_{n < \omega}^{\bullet} \mathsf{K}_n$$

- $\forall$  wins  $\mathbf{EF}_{\omega}(A, B)$ , but
- $\exists$  wins  $\mathbf{EF}^p_{\omega}(A, B)$  for any  $p < \omega$  ( $\exists$ 's places all her pebbles in  $K_p$ ).

#### Extra rule

Initial round changed.  $\forall$  picks distinct  $a_0, a_1 \in A$  and places  $\alpha_0, \alpha_1$  at these points.  $\exists$  responds by picking  $b_0, b_1 \in B$  and placing  $\beta_0, \beta_1$  there. This counts as two rounds (combined).

At any point,  $\forall$  may remove pebbles as before, but he must always leave at least two distinct points of *A* covered.

### **Converting to RA**

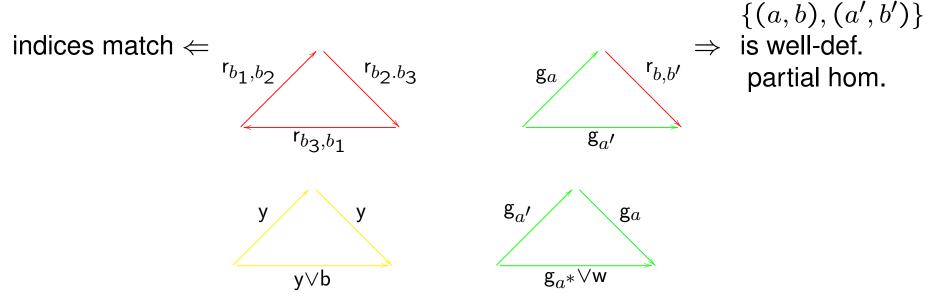
Idea: given binary structures A, B make **RA**  $\mathcal{A}_{A,B}$  such that  $\exists$  has w.s. in  $\mathbf{EF}_r^p(A, B) \iff \exists$  has w.s. in  $G_{1+r}^{2+p}(\mathcal{A}_{A,B})$ 

#### **Atoms**

- $1', g_a \ (a \in A), \ r_{bb'} \ (b, b' \in B), \ y, b, w.$
- All atoms self-converse, except  $r_{bb'} = r_{b'b}$ .

#### **Forbidden triangles**

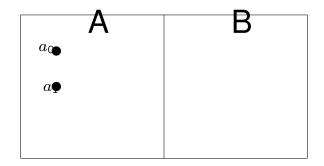
Forbid (1', x, y) unless x = y.

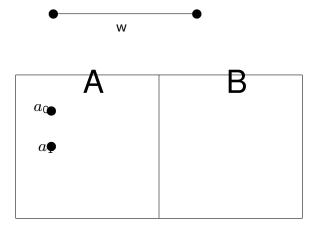


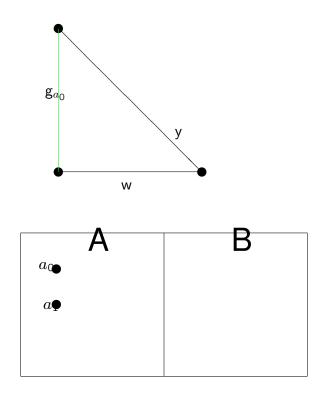
At this point we have

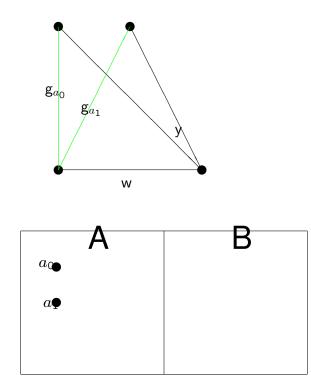
 $\forall$  has w.s. in  $\mathbf{EF}_r^p(A, B) \Rightarrow \forall$  has w.s. in  $G_{1+r}^{2+p}(\mathcal{A}_{A,B})$ 

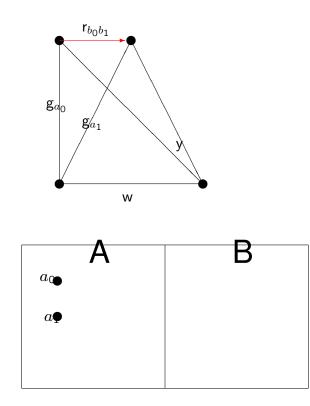
Correspondencebetweengames. $\exists$  wins  $G_{1+r}^{2+p}(\mathcal{A}_{A,B}) \Rightarrow \exists$  wins  $\mathsf{EF}_r^p(A,B)$ 

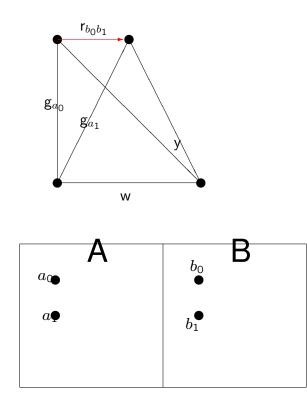


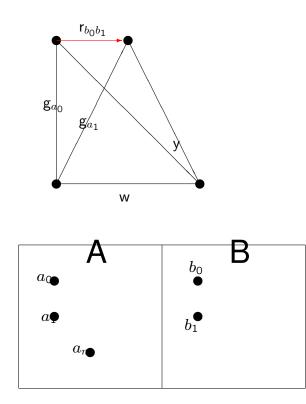


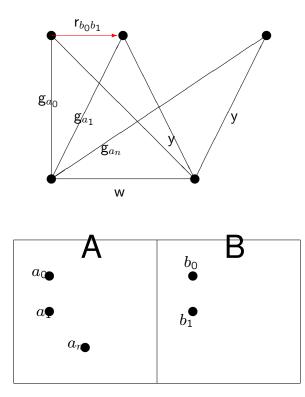


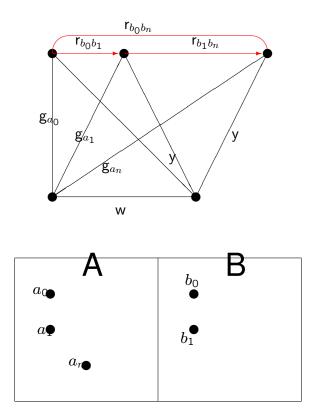


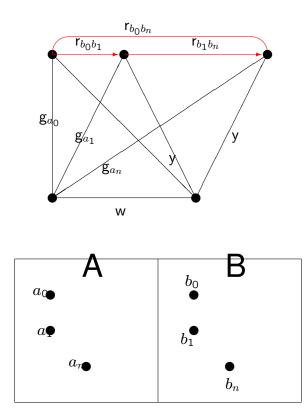


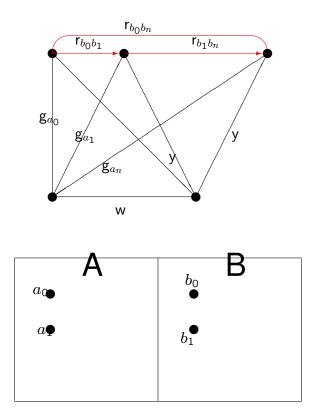


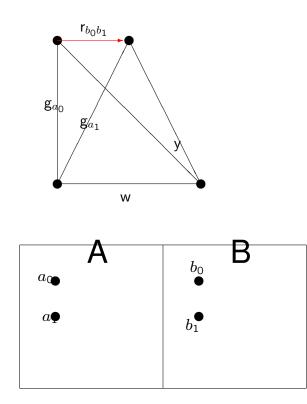


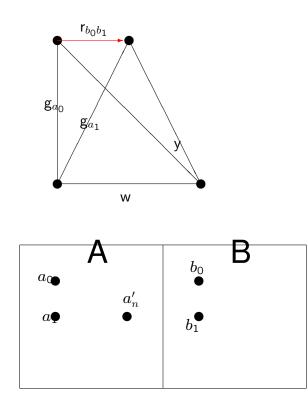


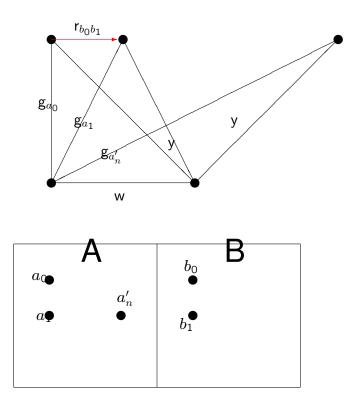


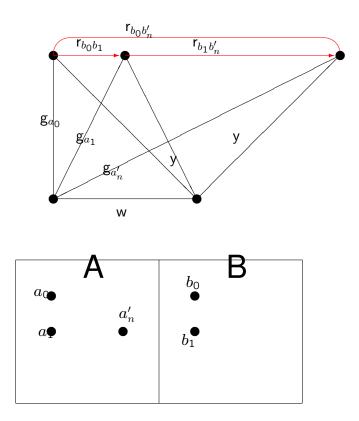


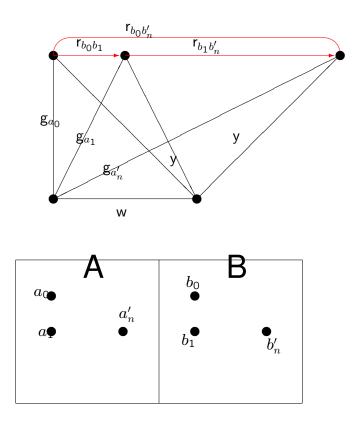






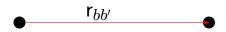


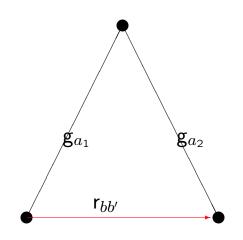


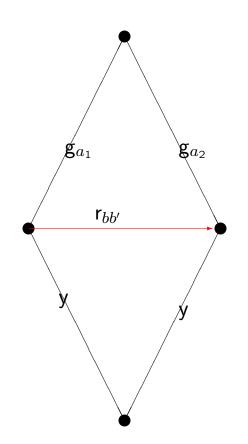


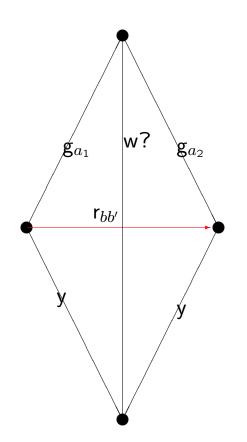
# How $\exists$ can win $G_{1+r}^{2+p}(\mathcal{A}_{A,B})$

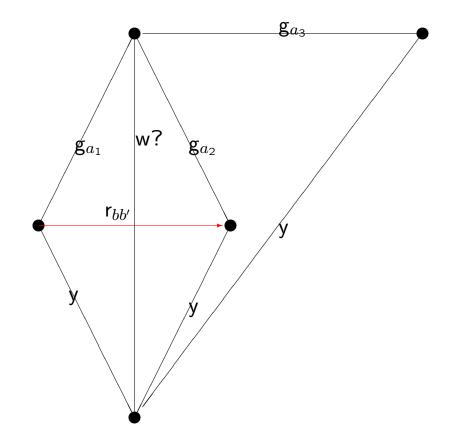
 $\exists$ 's strategy will be to play white if possible, else black if possible, else red. But this isn't working.

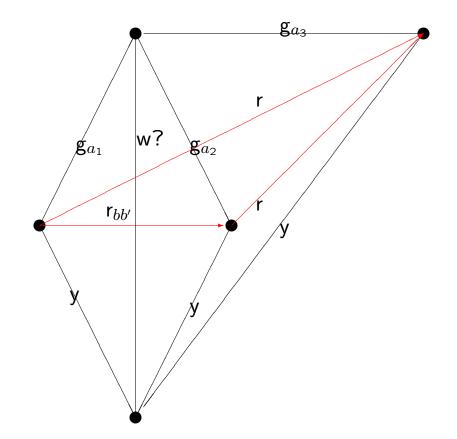












### How to fix this

The idea was that  $\exists$  could freely choose red atoms.

Don't want  $\forall$  to choose red edge and then force a 'red clique' including that edge.

Final atoms to add:-

$$w_S : S \subseteq A, |S| \leq 2$$

all self-converse.

Forbid

 $(\mathsf{w}_S,\mathsf{g}_a,\mathsf{y})$ 

unless  $a \in S$ .

### The atom structure in full

#### **Atoms**

1', g<sub>a</sub>, w, w<sub>S</sub>, y, b, r<sub>bb'</sub> : 
$$a \in A$$
,  $S \subseteq A |S| ≤ 2$ ,  $b, b' \in B$   
All self-converse except  $r_{bb'} = r_{b'b}$ .  
Forbidden triples

PTs of

$$\begin{array}{ll} (1',x,y) & x \neq y \\ (g_a,g_{a'},\gamma) & a,a' \in A, \ \gamma \text{ is white or green} \\ (y,y,y),(y,y,b) & \\ (\mathsf{r}_{b_0b_1},\mathsf{r}_{b'_1b'_2},\mathsf{r}_{b''_0b''_2}) & \text{unless } b_0 = b''_0, \ b_1 = b'_1, \ b'_2 = b''_2 \\ (g_a,g_{a'},\mathsf{r}_{bb'}) & \text{if } (a,a') \in r^A \text{ but } (b,b') \notin r^B \\ (\mathsf{w}_S,g_a,y) & \text{unless } a \in S \end{array}$$

We now have

#### **RRA is not finitely axiomatisable**

- Let  $\mathcal{A}_n = \mathcal{A}_{\mathsf{K}_{n+1},\mathsf{K}_n}$ .
- $\forall$  has winning strategy in  $EF_{n+1}(K_{n+1}, K_n)$  so  $\forall$  has winning strategy in  $G_{n+2}(A_n)$  and  $A_n \notin \mathbf{RRA}$ .
- But ∃ has winning strategy in EF<sub>n</sub>(K<sub>n+1</sub>, K<sub>n</sub>) so ∃ has winning strategy in G<sub>n+1</sub>(A<sub>n</sub>). So A<sub>n</sub> ⊨ σ<sub>n+1</sub>.
- Let  $\mathcal{A} = \prod_U \mathcal{A}_n$  be a non-principal ultraproduct. Then  $\mathcal{A} \models \sigma_n$ , all n. Hence  $\mathcal{A} \in \mathbf{RRA}$ .
- No finite axiomatisation of **RRA** exists.

#### **CRA** is not elementary

Let 
$$A = \mathsf{K}_{\omega}, \ B = \bigcup_{n < \omega} \mathsf{K}_n.$$

 $\forall \text{ has winning strategy in } EF_{\omega}(A, B) \\ \Rightarrow \forall \text{ has winning strategy in } G_{\omega}(\mathcal{A}_{A,B}) \\ \Rightarrow \mathcal{A}_{A,B} \notin \mathbf{CRA}$ 

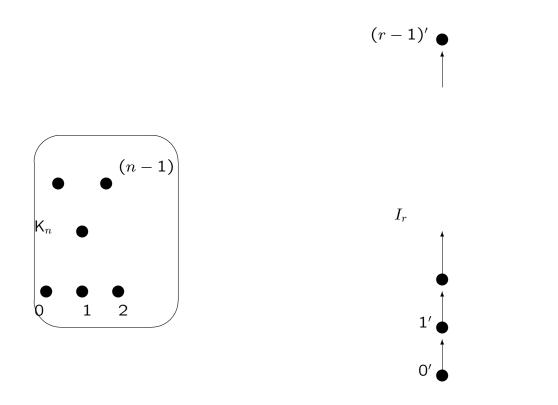
#### But

 $\exists \text{ has winning strategy in } \mathsf{EF}_n(A, B) \\ \Rightarrow \exists \text{ has winning strategy in } G_n(\mathcal{A}_{A,B}) \\ \Rightarrow \mathcal{A}_{A,B} \models \sigma_n$ 

Hence

$$\mathbf{CRA}
ot \supseteq \mathcal{A}_{A,B} \equiv \sqcap_U \mathcal{A}_{A,B} \succeq \mathcal{B} \in \mathbf{CRA}$$

#### $RA_{n+1}$ not finitely axiomatisable over $RA_n$



K<sub>n</sub> is complete irreflexive graph over  $\{0, 1, ..., n-1\}$ . I<sub>r</sub> is successor relation over  $\{0', 1', ..., (r-1)'\}$ .  $A_r^n$  has nodes  $n \cup r'$  and has edges

$$egin{array}{ll} \{(i,j): i 
eq j < n\} & \cup & \{(i',(i+1)'): i < r\} \ & \cup & \{(i,j'),(j',i): i < n, \; j < r\} \end{array}$$

#### Some corollaries

Rainbow construction produces relation algebras that we can use to prove:-

- Non-finite axiomatisability of **RRA** [Monk, 1964]
- Non-finite axiomatisability of the representation class of any sub-signature of RA including composition, converse and intersection [Hodkinson Mikulas, 2000]
- No set of equations using a finite number of variables can define RRA [Jónsson, 1991]

- Class of completely representable relation algebras not closed under elementary equivalence.
- Can be extended to cover similar results for cylindric algebras.

### **Open Problems**

• Is this decidable: does a given finite relation algebra have a representation on a finite base??

lacksquare

#### No *k*-variable first order axiomatisation of RRA?

Find two finite graphs A, B with  $A \not\cong B$  but can't distinguish A, B using a k colour game.

Say *A* cannot embed in *B*. Then  $\mathcal{A}_{A,B} \notin \mathbf{RRA}$  but  $\mathcal{A}_{B,B} \in \mathbf{RRA}$  and no *k*-variable formula distinguishes  $\mathcal{A}_{A,B}$  from  $\mathcal{A}_{B,B}$ .

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