

# INDEPENDENCE ALGEBRAS or $v^*$ -ALGEBRAS

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## 1. MOTIVATION

*For further reading on the background for  $v^*$ -algebras I recommend ‘The origins of independence algebras’ by J. Araujo and J. Fountain, DOI No: 10.1142/97898127026160004*

**Similarities** between  $\text{End } \mathbf{V}$  ( $V$  vector space over division ring) and  $\mathcal{T}(X)$ :

$\exists$  a natural definition of *rank* : for  $\alpha \in \text{End } \mathbf{V}$ , *rank*  $\alpha$  is  $\dim(\text{Im } \alpha)$  and for  $\alpha \in \mathcal{T}(X)$ , *rank*  $\alpha$  is  $|\text{Im } \alpha|$ .

Let  $m \leq n \in \mathbb{N}$  and let  $T = \text{End } \mathbf{V}$  ( $\dim V = n$ ) or  $\mathcal{T}_X$ , ( $|X| = n$ ). Let

$$T_m = \{\alpha \in T : \dim \alpha \leq m\}.$$

Then  $T_m$  is an ideal. We have

$$T_0 \subset T_1 \subset \dots \subset T_n$$

and these are the *only* ideals of  $T$  and for  $m \geq 1$  the Rees quotient

$$T_m/T_{m-1}$$

is completely 0-simple. Further, if

$$E = \{\alpha = \alpha^2 : \text{rank } \alpha < n\}$$

then

$$\langle E \rangle = T_{n-1}$$

(for  $\mathbf{V}$  this is due to Erdős and Reynolds and Sullivan, for  $\mathcal{T}_X$  due to Howie.)

## 2. CLOSURE OPERATORS AND MATROIDS

Let  $A$  be a set and  $C : \mathcal{P}(A) \rightarrow \mathcal{P}(A)$ . Then  $C$  is a *closure operator* on  $A$  if for all  $X, Y \in \mathcal{P}(A)$ :

- (i)  $X \subseteq C(X)$ ;
- (ii) if  $X \subseteq Y$  then  $C(X) \subseteq C(Y)$ ;
- (iii)  $C(X) = C(C(X))$ .

*Condition (i) says that  $C$  is extensive, condition (ii) that  $C$  is order preserving and condition (iii) that  $C$  is idempotent.*

Let  $\mathbf{A}$  be any algebra, then

$$\text{Sg}^{\mathbf{A}} : \mathcal{P}(A) \rightarrow \mathcal{P}(A)$$

is a closure operator on  $A$ , where

$$X \mapsto \text{Sg}^{\mathbf{A}}(X) = \langle X \rangle.$$

$\text{Sg}^{\mathbf{A}}(\emptyset) = \emptyset$  iff  $\mathbf{A}$  has no constants.

A closure operator  $C$  on a set  $B$  is *algebraic* if for all  $X \subseteq B$

$$C(X) = \bigcup \{C(Y) : Y \subseteq X, |Y| < \infty\}.$$

Clearly  $\text{Sg}^{\mathbf{A}}$  is an *algebraic closure operator*.

**Definition** The *exchange property* (EP) for a closure operator  $C$  on a set  $A$  is defined as follows:

(EP) For all  $X \subseteq A$  and  $x, y \in A$ , if  $x \notin C(X)$  but  $x \in C(X \cup \{y\})$ , then  $y \in C(X \cup \{x\})$ .

**Definition** A set  $A$  with an algebraic closure operator with (EP) is a *matroid*.  
*there are many equivalent ways of defining a matroid.*

**Examples** (1)  $\mathbf{V}$  vector space. We have

$$\langle X \rangle = \{\lambda_1 x_{i_1} + \dots + \lambda_n x_{i_n} : n \in \mathbb{N}, \lambda_i \in D, x_{i_j} \in X\}.$$

Let

$$x \in \langle X \cup \{y\} \rangle \text{ but } x \notin \langle X \rangle.$$

Then

$$x = \lambda_1 x_{i_1} + \dots + \lambda_n x_{i_n} + \lambda y$$

for  $\lambda, \lambda_i \in D, x_{i_j} \in X$ . Note  $\lambda \neq 0$ . Then

$$y = \frac{1}{\lambda}x + \frac{-\lambda_1}{\lambda}x_{i_1} \dots + \frac{-\lambda_n}{\lambda}x_{i_n} \in \langle X \cup \{x\} \rangle.$$

(2) Let  $X$  be a set - it is an algebra with no operations. Then

$$\langle X \rangle = X$$

so if

$$x \in \langle X \cup \{y\} \rangle \text{ but } x \notin \langle X \rangle$$

then

$$y = x \in \langle X \cup \{x\} \rangle.$$

(3)  $\mathbf{A}$  a  $G$ -act on a set  $A$  over a group  $G$ . We have

$$\langle X \rangle = \bigcup_{x \in X} Gx$$

so if

$$x \in \langle X \cup \{y\} \rangle \text{ but } x \notin \langle X \rangle$$

then

$$x = gy$$

for some  $g \in G$ , hence

$$y = g^{-1}x \in \langle X \cup \{y\} \rangle.$$

*If  $G$  is trivial, then effectively,  $\mathbf{A}$  is a set*

### 3. INDEPENDENCE ALGEBRAS

The next ingredient of our approach to the definition of an independence algebra is that of an independent subset.

**Definition** Let  $C$  be a closure operator on a set  $A$ , and let  $X \subseteq A$ . Then  $X$  is  $C$ -independent if for all  $x \in X$ ,  $x \notin C(X \setminus \{x\})$ .

If  $\mathbf{A}$  is an algebra then we refer to  $\text{Sg}^{\mathbf{A}}$ -independent sets more simply as *independent* sets.

**Example** (1) The independent subsets of  $\mathbf{V}$  are the linearly independent subsets.  
 (2) For sets, every subset is independent.  
 (3)  $\mathbf{A}$  a  $G$ -set, then  $X$  is independent iff  $X$  contains at most one element from each orbit, that is,  $|X \cap Gx| \leq 1$  for each  $x \in S$ .

**Fact** Let  $C$  be an algebraic closure operator with (EP) on a set  $A$ , and let  $Y \subseteq X \subseteq A$ . Then the following conditions are equivalent:

- (i)  $Y$  is a maximal  $C$ -independent subset of  $X$ ;
- (ii)  $Y$  is  $C$ -independent and  $C(Y) = C(X)$ ;
- (iii)  $Y$  is minimal with respect to  $C(Y) = C(X)$ .

**Definition** Let  $\mathbf{A}$  be an algebra. A *basis* of  $A$  is a minimal generating set.  
 So, if (EP) holds for  $\langle, \rangle$ , then a basis is also a maximum independent set.

**Definition** An algebra  $\mathbf{A}$  algebra has the *free basis* property if (F) holds:

(F) For any basis  $X$  of  $A$  and function  $\alpha : X \rightarrow A$ ,  $\alpha$  can be extended to an element of  $\text{End } \mathbf{A}$ .

**Definition** An algebra  $\mathbf{A}$  is an independence algebra if it has (EP) and (F).

**Examples of Independence algebras** (1)  $\mathbf{V}$

- (2)  $X$  a set - a basis is just  $X$ .
- (3) A basis of a  $G$ -set  $\mathbf{A}$  is a transversal  $X$  of orbits. If  $\alpha : X \mapsto A$ , can extend  $\alpha : A \mapsto A$  by putting  $gx \mapsto g(x\alpha)$ .

**Definition** Let  $C$  be an algebraic closure operator with (EP) on a set  $X$ . The  $C$ -rank of  $X$ , written  $\rho_C(X)$ , is  $|Y|$ , where  $Y$  is a maximal  $C$ -independent subset of  $X$ .

**Fact**  $\rho_C$  is well-defined.

## 4. THE RESULTS

Let  $\mathbf{A}$  be an independence algebra. Write  $\rho$  for  $\rho_{\langle \cdot, \cdot \rangle}$ .

**Definition** Let  $\alpha \in \text{End } \mathbf{A}$ . The *rank* of  $\alpha$  is  $\rho(\text{Im } \alpha)$ .

**Theorem (G)** Let  $\mathbf{A}$  be an independence algebra of rank  $n$ . Let  $m \leq n \in \mathbb{N}$  and let

$$T_m = \{\alpha \in \text{End } \mathbf{A} : \dim \alpha \leq m\}.$$

Then  $T_m$  is an ideal of  $\text{End } \mathbf{A}$ . We have

$$T_0 \subset T_1 \subset \dots \subset T_n$$

and these are the *only* ideals of  $\text{End } \mathbf{A}$ . For  $m \geq 1$  the Rees quotient

$$T_m/T_{m-1}$$

is completely 0-simple.

**Theorem (Fountain and Lewin)** Further, if

$$E = \{\alpha = \alpha^2 : \text{rank } \alpha < n\}$$

then

$$\langle E \rangle = T_{n-1}.$$