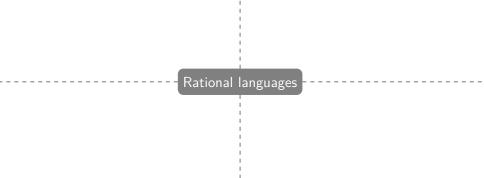
# About the generalised star-height problem and profinite identities

Laure Daviaud

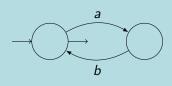
City, University of London

University of York, 22/01/2020



# Automata а Rational languages

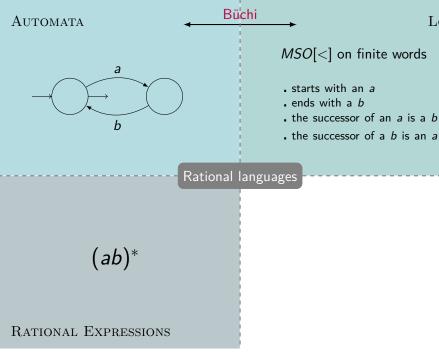
# AUTOMATA



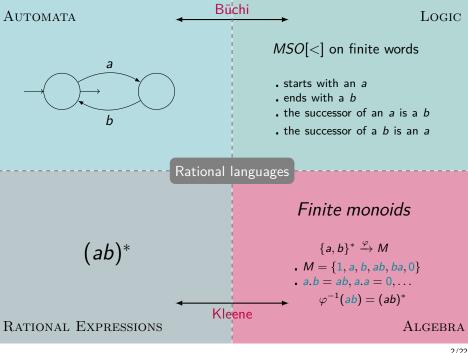
Rational languages

 $(ab)^*$ 

RATIONAL EXPRESSIONS



Logic



- containing the finite languages (including the empty language),
- closed under finite union, concatenation and complement.

The set of the star-free languages is the smallest set:

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• A\*

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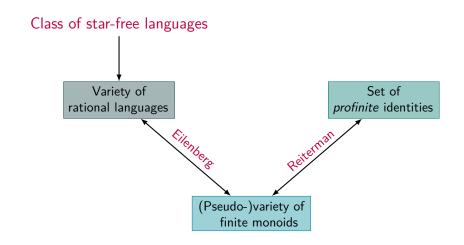
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#### Varieties and identities



A variety of languages is a class of rational languages

$$\nu(A_1) \cup \nu(A_2) \cup \nu(A_3) \dots$$

such that:

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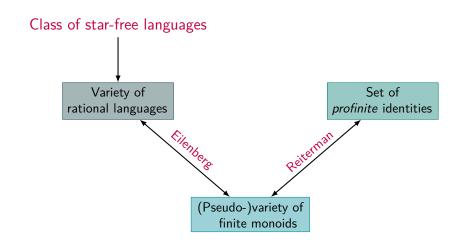
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- it is closed under inverse image: for each monoid morphism  $\varphi: A_i^* \to A_j^*, L \in \nu(A_j)$  implies  $\varphi^{-1}(L) \in \nu(A_i)$

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where n: size of a smallest deterministic complete automaton  $\mathcal{A}$  such that  $u \in L(\mathcal{A})$  and  $v \notin L(\mathcal{A})$ .

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Or n: size of a smallest monoid that separates u and v.

d is an ultrametric distance:

- d(u, v) = 0 iff u = v
- d(u,v) = d(v,u)
- $d(u,v) \leqslant \max(d(u,w),d(w,v))$

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Example 1: u \neq v?
At least 2^{-(|u|+1)}
Example 2: a \in A - a^{99} and a^{100}?
\frac{1}{4}
Example 3: u \in A^*, n \in \mathbb{N} - u^{n!} and u^{(n+1)!}?
```

# The profinite world

#### Definition

Profinite monoid  $\widehat{A^*}$ : completion of  $A^*$  with respect to the distance d.

- Monoid if u and v sequences of words,  $(u.v)_n = u_n v_n$
- Metric space
- A\* dense subset
- Compact

# VIP (very important profinite) words

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Idempotent power of  $u \in A^*$ 

$$u^{\omega} = \lim_{n \to \infty} u^{n!}$$

Profinite identity: u = v with  $u, v \in \widehat{A}^*$ .

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A language L satisfies a profinite identity u=v with  $u,v\in\widehat{A}^*$ , if for all profinite words w,w',  $wuw'\in\overline{L}$  if and only if  $wvw'\in\overline{L}$ .

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Example: for all  $u, v \in \{a, b\}^*$  such that  $|u|_a = |v|_a$  and  $|u|_b = |v|_b$ ,  $u \in L$  if and only if  $v \in L$ 

#### **Identities**

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### Languages with a zero

### Zero (Reilly-Zhang 2000, Almeida-Volkov 2003)

$$|A| \geqslant 2$$

 $u_0, u_1, \ldots$  an enumeration of the words of  $A^*$ 

$$v_0 = u_0, \quad v_{n+1} = (v_n u_{n+1} v_n)^{(n+1)!}$$

$$\rho_A = \lim_{n \to \infty} \mathbf{v}_n$$

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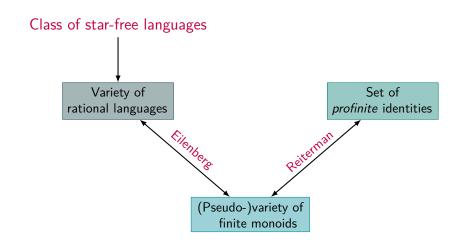
Languages with a sink state:  $\rho_A u = u \rho_A = \rho_A$ 

# Correspondance

#### - Theorem

A class of languages is a variety if and only if it is defined by a set of profinite identities.

#### Varieties and identities



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#### - Theorem [Schützenberger]

A language is star-free if and only if it satisfies the profinite identity  $\mathbf{x}^{\omega+1}=\mathbf{x}^{\omega}.$ 

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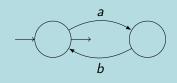
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- $\rightarrow$  (aa)\* is not star-free.



*FO*[<] on finite words

Counter-free automata

(McNaughton-Papert)

Star-free languages

(McNaughton-Papert)
(Schützenberger)

#### Smallest set:

- containing the finite languages (including the empty language),
- closed under finite union,
- concatenation and complement.

RATIONAL EXPRESSIONS

Aperiodic monoids

$$x^{\omega} = x^{\omega+1}$$

Algebra

- One can decide if a given rational language is star-free.
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# Examples of rational languages of a given generalised star-height?

 $\longrightarrow$  OPEN : we do not even know if there exist a rational language with star-height at least 2.

#### Definition

Given two profinite words u, v, a rational language L satisfies

$$u \rightarrow v$$

if  $u \in \overline{L}$  implies  $v \in \overline{L}$ 

$$a,b\in A$$
 Equation  $ab\to aba$  
$$\{L\subseteq A^*\mid ab\notin L\}\cup\{L\subseteq A^*\mid ab,aba\in L\}$$

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Given two profinite words u, v, a rational language L satisfies

$$u \leq v$$

if for all  $w, w' \in \widehat{A^*}, wuw' \in \overline{L}$  implies  $wvw' \in \overline{L}$ 

$$a, b \in A$$

Equation ab ≤ aba

 $\{L \subseteq A^* \mid \text{for all } w, w' \in A^*, \text{ if } wabw' \in L \text{ then } wabaw' \in L\}$ 

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### Theorem [Gehrke, Grigorieff, Pin 2008] -

Classes of rational languages

- **.** Lattice (union, intersection):  $\rightarrow$
- **.** Boolean algebra (lattice, complement):  $\leftrightarrow$
- Lattice closed under quotient: ≤
- Boolean algebra closed under quotient: =

quotient : 
$$u^{-1}Lv^{-1} = \{w \mid uwv \in L\}$$

$$P_u = \bigcup_{p \text{ prefix of } u} u^*p \quad \text{ and } \quad S_u = \bigcup_{s \text{ suffix of } u} su^*$$

$$x^{\omega}y^{\omega}=0$$
 for  $x,y\in A^*$  such that  $xy\neq yx$   $(E_1)$   $x^{\omega}y=0$  for  $x,y\in A^*$  such that  $y\notin P_x$   $(E_2)$   $yx^{\omega}=0$  for  $x,y\in A^*$  such that  $y\notin S_x$   $(E_3)$   $x^{\omega}\leqslant 1$  for  $x\in A^*$   $(E_4)$   $x^{\ell}\leftrightarrow x^{\omega+\ell}$  for  $x\in A^*$ ,  $\ell>0$ 

$$x \to x^{\ell} \text{ for } x \in A^*, \ \ell > 0$$
 (E<sub>6</sub>)

$$x^{\alpha} \leftrightarrow x^{\beta} \text{ for all } (\alpha, \beta) \in \Gamma$$
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## DECIDABLE Lattice

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# DECIDABLE Lattice closed under quotients

$$P_u = \bigcup_{p \text{ prefix of } u} u^*p$$
 and  $S_u = \bigcup_{s \text{ suffix of } u} su^*$ 

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  $(E_1)$ 
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 $x^{\ell} \leftrightarrow x^{\omega+\ell} \text{ for } x \in A^*, \ \ell > 0$   $(E_5)$ 
 $x \to x^{\ell} \text{ for } x \in A^*, \ \ell > 0$   $(E_6)$ 
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DECIDABLE Boolean algebra closed under quotients

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DECIDABLE Boolean algebra

$$x^{\alpha} \leftrightarrow x^{\beta}$$
 for all  $(\alpha, \beta) \in \Gamma$  (E<sub>7</sub>)

An example:

$$(a^2)^* - (a^6)^* = (a^6)^* a^2 \cup (a^6)^* a^4$$

1  $a a^2 a^3 a^4 a^5 a^6 a^7 a^8 a^9 a^{10} a^{11} a^{12} a^{13} a^{14} \dots$ 

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#### Equivalence relation over the integers

 $r \equiv_m s$  if and only if gcd(r, m) = gcd(s, m) $(u^m)^*u^r \subseteq L$  if and only if  $(u^m)^*u^s \subseteq L$ 

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$$2 \equiv_6 4$$
 since  $gcd(2,6) = 2 = gcd(4,6)$   
 $(u^6)^*u^2 \subseteq L$  if and only if  $(u^6)^*u^4 \subseteq L$ 

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 $x^{\alpha} \leftrightarrow x^{\beta}$  for  $\alpha$  and  $\beta$  representing sequences of integers  $(km+r)_k$  and  $(km+s)_k$  with  $r \equiv_m s...$ 

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$$(a^{2})^{*} - (a^{6})^{*} = (a^{6})^{*} a^{2} \cup (a^{6})^{*} a^{4}$$

$$1 \quad a \quad a^{2} \quad a^{3} \quad a^{4} \quad a^{5} \quad a^{6} \quad a^{7} \quad a^{8} \quad a^{9} \quad a^{10} \quad a^{11} \quad a^{12} \quad a^{13} \quad a^{14} \quad \dots$$

#### Equivalence relation over the integers

 $r \equiv_m s$  if and only if gcd(r, m) = gcd(s, m) $(u^m)^*u^r \subseteq L$  if and only if  $(u^m)^*u^s \subseteq L$ 

 $x^{\alpha} \leftrightarrow x^{\beta}$  for  $\alpha$  and  $\beta$  profinite numbers in  $\widehat{\mathbb{N}} = \{\widehat{a}\}^*$  satisfying some specific conditions...

$$x^{\alpha} \leftrightarrow x^{\beta} \text{ for all } (\alpha, \beta) \in \Gamma$$
 (E<sub>7</sub>)

An example:

$$(a^2)^* - (a^6)^* = (a^6)^* a^2 \cup (a^6)^* a^4$$

1 
$$a a^2 a^3 a^4 a^5 a^6 a^7 a^8 a^9 a^{10} a^{11} a^{12} a^{13} a^{14} \dots$$

 $\Gamma$  is the set of all the pairs of profinite numbers  $(dz^{\mathcal{P}}, dpz^{\mathcal{P}})$  s.t.:

- $oldsymbol{\cdot}$   $\mathcal{P}$  is a cofinite sequence of prime numbers  $\{\emph{p}_1,\emph{p}_2,\ldots\}$
- $\mathbf{z}^{\mathcal{P}} = \lim_{n} (p_1 p_2 \dots p_n)^{n!}$
- $\cdot$  *p* ∈  $\mathcal{P}$
- $oldsymbol{\cdot}$  if q divides d then  $q \notin \mathcal{P}$

$$x^{\alpha} \leftrightarrow x^{\beta}$$
 for all  $(\alpha, \beta) \in \Gamma$  (E<sub>7</sub>)

- One can decide if a given rational language is star-free.
- (aa)\* is not star-free.
- Generalised star-height: minimal number of nested stars in a generalised expression  $(\cup, \cdot, {}^c, {}^*)$  representing a rational language.

# Examples of rational languages of a given generalised star-height?

 $\longrightarrow$  OPEN : we do not even know if there exist a rational language with star-height at least 2.