#### Neoclassical currents

Dr Ben Dudson

Department of Physics, University of York Heslington, York YO10 5DD, UK

4<sup>th</sup> February 2015

#### Last time

- Ideal MHD gives the relation  $\underline{J} \times \underline{B} = \nabla p$  for equilibrium
- This lead to the Grad-Shafranov equation which is used to design and interpret tokamak experiments
- This relation determines the perpendicular current, but says nothing about the parallel current

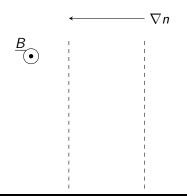
In this lecture we'll look in more detail about currents in tokamak plasmas

# Diamagnetic current

You have already seen one current in MHD equilibrium

$$\underline{J} \times \underline{B} = \nabla P \quad \Rightarrow J_{\perp}^{DIA} = \frac{\underline{B} \times \nabla P}{B^2}$$

This is called the **Diamagnetic current** (Recall that this also means that  $\underline{B} \cdot \nabla P = 0$  so  $P = P(\psi)$ ; P = poloidal flux)

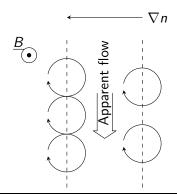


# Diamagnetic current

You have already seen one current in MHD equilibrium

$$\underline{J} \times \underline{B} = \nabla P \quad \Rightarrow J_{\perp}^{DIA} = \frac{\underline{B} \times \nabla P}{B^2}$$

This is called the **Diamagnetic current** (Recall that this also means that  $\underline{B} \cdot \nabla P = 0$  so  $P = P(\psi)$ ; P = poloidal flux)

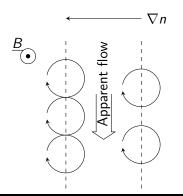


# Diamagnetic current

You have already seen one current in MHD equilibrium

$$\underline{J} \times \underline{B} = \nabla P \quad \Rightarrow J_{\perp}^{DIA} = \frac{\underline{B} \times \nabla P}{B^2}$$

This is called the **Diamagnetic current** (Recall that this also means that  $\underline{B} \cdot \nabla P = 0$  so  $P = P(\psi)$ ; P = poloidal flux)



No other current can flow perpendicular to the magnetic field lines (in ideal MHD at least) ⇒ All other currents must be along the magnetic field.

Note that  $J^{DIA}$  is a classical current - it exists in a cylinder or slab as well as a torus.

# Divergence of diamagnetic current

Consider the divergence of the diamagnetic current:

$$\nabla \cdot \underline{J}_{\perp}^{DIA} = \nabla \cdot \left[ \frac{\underline{B} \times \nabla P}{B^2} \right]$$

Noting the vector identity

$$\nabla \cdot (\underline{A} \times \underline{B}) = \underline{B} \cdot (\nabla \times \underline{A}) - \underline{A} \cdot (\nabla \times \underline{B})$$

then we have

$$\begin{split} \nabla \cdot \underline{J}_{\perp}^{DIA} &= (\underline{B} \times \nabla P) \cdot \nabla \left( \frac{1}{B^2} \right) + \frac{1}{B^2} \nabla P \cdot \underbrace{\nabla \times \underline{B}}_{\mu_0 \underline{J}} - \underline{B} \cdot \underbrace{(\nabla \times \nabla P)}_{=0 \text{ (identity)}} \\ \Rightarrow \nabla \cdot \underline{J}_{\perp}^{DIA} &= (\underline{B} \times \nabla P) \cdot \nabla \left( \frac{1}{B^2} \right) + \frac{\mu_0}{B^2} \underbrace{\nabla P \cdot \underline{J}}_{=0} \\ \nabla \cdot \underline{J}_{\perp}^{DIA} &= (\underline{B} \times \nabla P) \cdot \nabla \left( \frac{1}{B^2} \right) \end{split}$$

#### Return current

Recall from last lecture that  $p = p(\psi)$ , so  $\nabla p = \frac{dp}{d\psi} \nabla \psi$ . Using the expression  $\underline{B} = f(\psi) \nabla \phi + \nabla \phi \times \nabla \psi$ , we can write

$$\underline{B} \times \nabla p = (f(\psi) \nabla \phi + \nabla \phi \times \nabla \psi) \times \frac{dp}{d\psi} \nabla \psi$$

$$= \frac{dp}{d\psi} \left[ f(\nabla \phi \times \nabla \psi) + \underbrace{(\nabla \phi \cdot \nabla \psi)}_{=0} \nabla \psi - \underbrace{|\nabla \psi|^{2}}_{R^{2}B_{\theta}^{2}} \nabla \phi \right]$$

$$= \frac{dp}{d\psi} \left[ f(\underline{B} - f\nabla \phi) - R^{2}B_{\theta}^{2} \nabla \phi \right]$$

$$= \frac{dp}{d\psi} [f\underline{B} - \underbrace{f^{2}}_{R^{2}B_{\phi}^{2}} \nabla \phi - R^{2}B_{\theta}^{2} \nabla \phi \right]$$

$$= \frac{dp}{d\psi} \left[ f\underline{B} - R^{2}B^{2} \nabla \phi \right]$$

#### Return current

Using this,

$$\nabla \cdot \underline{J}_{\perp}^{DIA} = (\underline{B} \times \nabla P) \cdot \nabla \left(\frac{1}{B^2}\right)$$

$$= \frac{dp}{d\psi} \left(f\underline{B} - R^2 B^2 \nabla \phi\right) \cdot \nabla \left(\frac{1}{B^2}\right)$$

$$= \frac{dp}{d\psi} f\left(\underline{B} \cdot \nabla\right) \left(\frac{1}{B^2}\right)$$

- For a cylinder, the magnitude of B doesn't vary along  $\underline{B}$  so  $\nabla \cdot \underline{J}_{\perp}^{DIA} = 0$
- In a torus however, field-lines go between high and low B regions, so  $\nabla \cdot \underline{J}_{\perp}^{DIA} \neq 0$

#### Return current

Using this,

$$\nabla \cdot \underline{J}_{\perp}^{DIA} = (\underline{B} \times \nabla P) \cdot \nabla \left(\frac{1}{B^2}\right)$$

$$= \frac{dp}{d\psi} \left(f\underline{B} - R^2 B^2 \nabla \phi\right) \cdot \nabla \left(\frac{1}{B^2}\right)$$

$$= \frac{dp}{d\psi} f\left(\underline{B} \cdot \nabla\right) \left(\frac{1}{B^2}\right)$$

- For a cylinder, the magnitude of B doesn't vary along  $\underline{B}$  so  $\nabla \cdot \underline{J}_{\perp}^{DIA} = 0$
- In a torus however, field-lines go between high and low B regions, so  $\nabla \cdot \underline{J}_{\perp}^{DIA} \neq 0$
- Divergence of the total current must be zero  $\nabla \cdot \underline{J} = 0$ 
  - $\Rightarrow$  there must be another current

#### Pfirsch-Schlüter current

- We need to add another current to make  $\nabla \cdot \underline{J} = 0$
- This can't be perpendicular to  $\underline{B}$  as this is fixed by force balance:  $\nabla \cdot \underline{J}_{\perp}^{DIA}$  is the only perpendicular current
- The current must therefore have the form  $\underline{J} = \underline{J}_{\perp}^{DIA} + \underline{J}_{||}$  where  $\underline{J}_{||} = J_{||}\underline{B}/B$

$$\nabla \cdot \underline{J} = \underbrace{\nabla \cdot \underline{J}_{\perp}^{DIA}}_{\frac{dp}{d\psi} f(\underline{B} \cdot \nabla) \cdot (1/B^{2})} + \underbrace{\nabla \cdot \left(\frac{J_{||}\underline{B}}{B}\right)}_{\underline{B} \cdot \nabla \left(J_{||}/B\right)} = 0$$

$$\Rightarrow \underline{B} \cdot \nabla \left[\frac{J_{||}}{B} + \frac{f}{B^{2}} \frac{dp}{d\psi}\right] = 0$$

#### Pfirsch-Schlüter current

$$\underline{B} \cdot \nabla \left[ \frac{J_{||}}{B} + \frac{f}{B^2} \frac{dp}{d\psi} \right] = 0$$

If the parallel gradient  $(\underline{B} \cdot \nabla)$  of a quantity is zero, then it must be constant on flux surfaces

$$\frac{J_{||}}{B} + \frac{f}{B^2} \frac{dp}{d\psi} = C(\psi)$$

The parallel current must therefore satisfy

$$J_{||} = -\frac{f}{B}\frac{dp}{d\psi} + C(\psi)B$$

Now we need to determine  $C(\psi)$ 

# Constraint on $C(\psi)$

To get a constraint on  $C(\psi)$ , we assume steady state. In this case, we can write

$$\nabla \times \underline{E} = -\frac{\partial \underline{B}}{\partial t} = 0$$

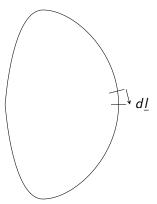
and therefore:

$$\oint \underline{E} \cdot d\underline{I} = \oint E_{\theta} dI_{\theta} = 0$$

where  $d\underline{l}$  is a line element in the poloidal direction along the flux surface

This poloidal electric field is due to parallel resistivity

$$E_{||} = \eta_{||}J_{||} \qquad \Rightarrow \frac{B_{\theta}}{B}E_{\theta} + \frac{B_{\phi}}{B}E_{\phi} = \eta_{||}J_{||}$$



# Constraint on $C(\psi)$

combining 
$$\frac{B_{\theta}}{B}E_{\theta} + \frac{B_{\phi}}{B}E_{\phi} = \eta_{||}J_{||}, \ \oint E_{\theta}dl_{\theta} = 0$$
 gives:

$$\oint \left( \eta_{||} J_{||} \frac{B}{B_{\theta}} - \frac{B_{\phi} E_{\phi}}{B_{\theta}} \right) dl_{\theta} = 0$$

Assuming that  $\eta_{||} \neq 0$  and using  $J_{||} = -\frac{f}{B} \frac{dp}{d\psi} + C(\psi) B$ 

$$\oint \left( \left[ -\frac{f}{B} \frac{dp}{d\psi} + C(\psi) B \right] \frac{B}{B_{\theta}} - \frac{B_{\phi} E_{\phi}}{\eta_{||} B_{\theta}} \right) dl_{\theta} = 0$$

Because f, p and C are functions of  $\psi$  only, they don't depend on  $I_{\theta}$ . Assuming that  $\eta_{||}$  is also constant on flux surfaces,

$$-frac{dp}{d\psi}\oint 1/B_{ heta}dI_{ heta}+C\left(\psi
ight)\oint B^{2}/B_{ heta}dI_{ heta}-\ointrac{B_{\phi}E_{\phi}}{\eta_{||}B_{ heta}}dI_{ heta}=0$$

# Constraint on $C(\psi)$

At this point, it's useful to divide through by  $\oint dl_{\theta}$ . This is just the distance around the flux-surface, and means that each term can be written as averages around a flux surface:

$$\langle x \rangle \equiv \frac{\oint x dl_{\theta}}{\oint dl_{\theta}}$$

We can therefore write:

$$-f\frac{dp}{d\psi}\left\langle 1/B_{\theta}\right\rangle +C\left(\psi\right)\left\langle B^{2}/B_{\theta}\right\rangle -\frac{\left\langle B_{\phi}E_{\phi}/B_{\theta}\right\rangle }{\eta_{||}}$$

and so

$$C\left(\psi\right) = f\frac{dp}{d\psi}\frac{\langle 1/B_{\theta}\rangle}{\langle B^{2}/B_{\theta}\rangle} + \frac{\langle B_{\phi}E_{\phi}/B_{\theta}\rangle}{\eta_{||}\langle B^{2}/B_{\theta}\rangle}$$

#### Pfirsch-Schlüter current

This therefore gives the parallel current:

$$J_{||} = \underbrace{-f\frac{dp}{d\psi}\left(\frac{1}{B} - \frac{\langle 1/B_{\theta}\rangle\,B}{\langle B^2/B_{\theta}\rangle}\right)}_{\text{Pfirsch-Schlüter current}} + \underbrace{\frac{\langle B_{\phi}E_{\phi}/B_{\theta}\rangle\,B}{\eta_{||}\,\langle B^2/B_{\theta}\rangle}}_{\text{Induced current}}$$

This parallel current is a combination of the current induced by an applied toroidal field  $E_{\phi}$ , and the **Pfirsch-Schlüter current** 

$$J_{||}^{PS} = -f \frac{dp}{d\psi} \left( \frac{1}{B} - \frac{\langle 1/B_{\theta} \rangle B}{\langle B^2/B_{\theta} \rangle} \right)$$

This current doesn't provide force balance since it is parallel to  $\underline{B}$ , but modifies the equilibrium. It is a neoclassical effect because it doesn't appear in a cylinder.

#### Other currents

There can be other currents in a tokamak, but:

- They must flow parallel to the magnetic field. The diamagnetic current is the only current that can flow across field lines
- The current density must be divergence free. From earlier,  $\underline{B} \cdot \nabla \left(J_{||}/B\right) = 0$  and so

$$\underline{J} = C(\psi)\underline{B}$$

Taking dot-product with  $\underline{B}$ ,  $\underline{J} \cdot \underline{B} = C(\psi) B^2$ . The poloidal average of this

$$\langle \underline{J} \cdot \underline{B} \rangle = C(\psi) \langle B^2 \rangle$$
  

$$\Rightarrow \underline{J} = \frac{\langle \underline{J} \cdot \underline{B} \rangle}{\langle B^2 \rangle} \underline{B}$$

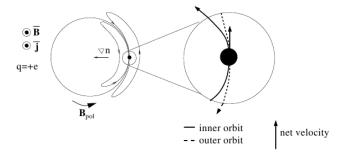
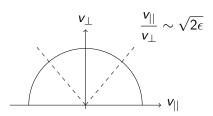


Figure: Banana current: Samuli Saarelma's PhD thesis, 2005

- Consider two banana orbits which are touching (shown above)
- If there is a density gradient, then there are more particles on the inner orbit than the outer
- There is therefore a net flow of trapped particles

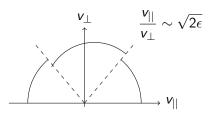
We can visualise this by looking at the distribution function of the particles

 In a uniform plasma particles are distributed in velocity space on the unit circle



We can visualise this by looking at the distribution function of the particles

- In a uniform plasma particles are distributed in velocity space on the unit circle
- If there is a density gradient then at a particular location there are more trapped particles going one way than the other



This gives rise to a current  $J_t \simeq \Delta n v_{||} e$ . Since the electrons drift in opposite direction to ions, the contribution adds together.

- ullet Parallel velocity of trapped particles  $v_{||} \simeq \sqrt{\epsilon} v_{th}$
- What is the difference in density between two banana orbits? Depends on the gradient of the number of trapped particles  $\sqrt{\epsilon}n$

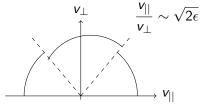
$$\Delta n \simeq \delta r_b \frac{d}{dr} \left( \sqrt{\epsilon} n \right)$$

• The current driven along the field-lines is therefore

$$J_t \simeq e\delta r_b \sqrt{\epsilon} \frac{dn}{dr} \sqrt{\epsilon} v_{th} \sim e \frac{\sqrt{\epsilon} v_{th}^2}{\Omega} \frac{dn}{dr} \sim \epsilon^{3/2} \frac{T}{B_\theta} \frac{dn}{dr}$$

 Here we have assumed no temperature gradient, but this will also contribute to the banana current: If particles are moving faster on the inner banana orbit than on the outer orbit, then this will also give rise to a current.

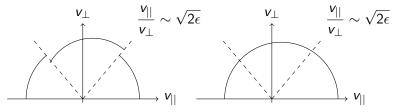
- Passing particles also drift across flux surfaces, but unlike trapped particles there is no ambiguity in their radial position.
   ⇒ do not directly contribute to the parallel current
- However if we look again at the distribution function:



there is a discontinuity at the trapped-passing boundary

 Collisions are a diffusion in velocity, and transfer momentum between trapped and passing particles

- Passing particles also drift across flux surfaces, but unlike trapped particles there is no ambiguity in their radial position.
   ⇒ do not directly contribute to the parallel current
- However if we look again at the distribution function:



there is a discontinuity at the trapped-passing boundary

- Collisions are a diffusion in velocity, and transfer momentum between trapped and passing particles
- Collisions smooth out the distribution function, resulting in a transfer of momentum from trapped to passing particles

This results in a current called the **bootstrap current** 

• We can estimate the bootstrap current  $J_b$  by considering the momentum transfer between trapped and passing particles. The rate is given by the effective collision frequency

$$u_{\rm eff} \simeq \nu/\epsilon$$

- The rate of transfer of momentum from trapped to passing particles  $\sim \frac{\nu}{\epsilon} J_t$
- ullet Collisions between passing particles provides a friction  $\sim 
  u J_b$

• We can estimate the bootstrap current  $J_b$  by considering the momentum transfer between trapped and passing particles. The rate is given by the effective collision frequency

$$u_{\text{eff}} \simeq \nu/\epsilon$$

- The rate of transfer of momentum from trapped to passing particles  $\sim \frac{\nu}{\epsilon} J_t$
- ullet Collisions between passing particles provides a friction  $\sim 
  u J_b$
- Balancing the momentum transfer against the friction gives

$$\nu J_b \sim \nu / \epsilon J_t \qquad \Rightarrow J_b \sim \sqrt{\epsilon} \frac{T}{B_\theta} \frac{dn}{dr}$$

Hence  $J_b$  is roughly R/r times larger than the banana current

ullet Collisions play a crucial role, but do not appear in the final result provided that  $u_*$  is small enough

The full calculation results in an expression

$$\langle \underline{J}_b \cdot \underline{B} \rangle = \sqrt{2\epsilon} f(\psi) p(\psi) \left[ \frac{a_1}{n} \frac{\partial n}{\partial \psi} + \frac{a_2}{T_e} \frac{\partial T_e}{\partial \psi} + \frac{a_3}{T_i} \frac{\partial T_i}{\partial \psi} \right]$$

where the constants  $a_1$ ,  $a_2$  and  $a_3$  are complicated functions of geometry and collisionality.

- Note that  $\frac{\partial n}{\partial \psi} \simeq \frac{1}{RB_{\theta}} \frac{\partial n}{\partial r}$ , and  $p(\psi)/n = T_e + T_i$ . The first term gives a similar expression to our approximation
- Even though collisions are crucial to the bootstrap current, it is quite insensitive to collision frequency provided it's not zero or too high
- At high collisionality, there are no trapped particles and so  $\langle \underline{J}_b \cdot \underline{B} \rangle o 0$

# Importance of the bootstrap current

The bootstrap current is present in all (hot) tokamak plasmas, and is driven by density and temperature gradients

- This current exists independently of any other current drive, and provides some of the poloidal field
- This ability of the plasma to generate its own poloidal field and so "lift itself up by the bootstraps" gave rise to the name
- In Advanced Tokamak (AT) scenarios, the bootstrap current provides the majority of the current e.g. ITER AT  $\simeq 70\%$ . JT-60 has been operated with 80% bootstrap fraction<sup>1</sup>
- This current is vital for economic steady-state operation, as it greatly reduces the current which must be driven externally

<sup>&</sup>lt;sup>1</sup>M.Kikuchi, JAEA. 2010 IISS at IFS, Austin

# Importance of the bootstrap current

The bootstrap current is present in all (hot) tokamak plasmas, and is driven by density and temperature gradients

- This current exists independently of any other current drive, and provides some of the poloidal field
- This ability of the plasma to generate its own poloidal field and so "lift itself up by the bootstraps" gave rise to the name
- In Advanced Tokamak (AT) scenarios, the bootstrap current provides the majority of the current e.g. ITER AT  $\simeq 70\%$ . JT-60 has been operated with 80% bootstrap fraction<sup>1</sup>
- This current is vital for economic steady-state operation, as it greatly reduces the current which must be driven externally
- Bootstrap current also has a bad side: in steep pressure gradient regions at the plasma edge, the bootstrap current can drive instabilities (peeling modes)

<sup>&</sup>lt;sup>1</sup>M.Kikuchi, JAEA. 2010 IISS at IFS, Austin

# Summary

- The only current which flows perpendicular to  $\underline{B}$  is the diamagnetic current  $\underline{J}_{\perp}^{DIA}$ . This satisfies  $\underline{J}_{\perp}^{DIA} \times \underline{B} = \nabla P$
- This has non-zero divergence, and so there must be a parallel current: the Pfirsch-Schlüter current. This can be thought of as the return current for the net vertical current needed to balance the hoop force
- Trapped particle (banana) orbits have a finite radial width.
   Radial density and temperature gradients therefore distort distribution functions, leading to the banana current
- Collisions transfer momentum from trapped to passing particles, leading to the **bootstrap current** which is  $\sim R/r$  times larger than the banana current
- This bootstrap current can provide the majority of the toroidal current needed to produce the poloidal magnetic field in a tokamak