Steps in the Exact Kohn-Sham Potential of **Ensemble Density Functional Theory for Excited** States and Their Relation to the Derivative Discontinuity

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E. K. Gross, L. N. Oliveira, and W. Kohn, Phys. Rev. A 37, 2809 (1988) E. K. Gross, L. N. Oliveira, and W. Kohn, Phys. Rev. A 37, 2805 (1988) E. K. Gross, L. N. Oliveira, and W. Kohn, Phys. Rev. A 37, 2821 (1988)



The electron density of an *excited* system of *N* interacting electrons is modelled using an auxiliary system of non-interacting electrons:

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Kohn-Sham equations:



$$-\frac{1}{2}\nabla^2 + v_{\rm s}\bigg)\phi_i(r) = \varepsilon_i\phi_i(r)$$



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Electron density: $n(r) = \delta |\phi_{N}|$

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$$\left(-\frac{1}{2}\nabla^2 + v_{\rm s}\right)\phi_i(r) = \varepsilon_i\phi_i(r)$$
$$\phi_{N+1}(r)|^2 + (1-\delta)|\phi_N(r)|^2 + \sum_{i=1}^{N-1}|\phi_i(r)|^2$$



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Electron density: $n(r) = \delta |\phi_{N}|$

The accuracy of the density relies on the approximation to the exchange-correlation part of v_{s} .

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The iDEA code



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Model simple 1D systems consisting of a few electrons

Choose any external potential we like





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Choose any external potential we like



- 1. Calculate the exact ground-state and first excited-state electron density
- 2. The electron density is a linear combination of these two densities:

$$n(r) = (1 - \delta) \cdot n_0(r) + \delta \cdot n_1(r)$$

3. Next reverse-engineer the exact Kohn-Sham potential







Derivative discontinuity of an atom







Derivative discontinuity of an atom













 $n(x) = (1 - \delta)n_0(x) + \delta n_1(x)$ $0 < \delta << 1$

Ground-state 1D molecule









Ground-state 1D molecule





















 $\Delta_{L \to R}^{\rm CT} = I_L - A_R + \eta_L^{\rm ho} - \eta_R^{\rm lu}$



 $\Delta_{L \to R}^{CT} = I_L - A_R + \eta_L^{\text{ho}} - \eta_R^{\text{lu}}$

Derivative discontinuity of Atom R:

$$\Delta_R = I_R - A_R + \varepsilon_R^{\rm ho}$$

 $P_R^{\rm ho} - \varepsilon_R^{\rm lu} = I_R - A_R + \eta_R^{\rm ho} - \eta_R^{\rm lu}$



Derivative discontinuity of Atom R:

$$\Delta_R = I_R - A_R + \varepsilon_R^{\rm ho}$$

$$S = S_1 + S_2 = \Delta_R - \Delta$$

 $\Delta_{L \to R}^{CT} = I_L - A_R + \eta_L^{\text{ho}} - \eta_R^{\text{lu}}$

$\Gamma_R^{\text{io}} - \varepsilon_R^{\text{lu}} = I_R - A_R + \eta_R^{\text{ho}} - \eta_R^{\text{lu}}$

 $\Delta_{L \to R}^{\rm CT} = I_R - I_L + \eta_R^{\rm ho} - \eta_L^{\rm ho}$











Conclusions

- 1. Ensemble density functional theory can be used to model charge transfer
- the 'charge-transfer derivative discontinuity'
- interatomic step which determines the distribution of charge in the molecule

2. Upon charge transfer two plateaus form around the atoms of a diatomic molecule – one corresponds to the derivative discontinuity of the acceptor and the other corresponds to

3. The steps which correspond to the two derivative discontinuities add together to make the





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Thanks for listening!





