## Nanophysics 13

Nanoparticle plasmons

Supplementary materials

## Recap

- Plasmon
  - Collective excitation of valence electrons
  - Energy for Volume
     Plasmon in Drude-like
     metals
- Dielectric function
  - Definition
  - Relation to optical properties
  - Condition for bulk plasmon excitation in real solids
  - Transparency of metals



## Outline

- Condition for plasmon in nanoparticles
- Dipole mode and Mie theory
- Coupling of plasmon in nanoparticles
  - Subwavelength energy transfer of light
- Surface plasmon-polarions (concept only)
- Applications
  - Optical decorations (vie scattering absorption)
  - Refractive index sensor (bio sensor)
  - Plasmonics (energy transport of light in subwavelength dimensions)

#### Plasmon oscillation in an ellipsoid



 $\mathbf{E} = \mathbf{E}_0 + \mathbf{E}_1$ 

#### **Depolarization factors for ellipsoids**

 A uniform polarization P produces a uniform depolarization field E<sub>1</sub> and

$$E_{1x} = -N_x P_x$$

• N's are the depolarization factors, whose values are positive and depend on the ratio of the principal axes of the ellipsoids, with the sum rule

$$N_x + N_y + N_z = 1$$

• Specific examples:

N=1/3 for spheres, 1 for thin slabs at its normal direction

# Equation of motion for collective oscillation of valence electrons of density n

• Uniform polarization

$$\mathbf{P} = -ne\mathbf{r}$$

• Depolarization field as the driving force for electron motion  $m\frac{d^2\mathbf{r}}{dt} = -e\mathbf{E}_1 = eN\frac{\mathbf{P}}{dt} = \frac{ne^2}{2}N\mathbf{r}$ 

$$dt^2$$
  $\mathcal{E}_0$   $\mathcal{E}_0^{\mathsf{P}=\mathsf{per}}$ 

• For oscillation motion of the form

$$\mathbf{r} = \mathbf{r}_0 e^{-i\omega t}$$

• The energy for resonance oscillation is

$$\omega^2 = \frac{ne^2 N}{m\mathcal{E}_0} = \omega_p^2 N$$

#### Examples of plasmons of ellipsoids

• Spheres, single plasmon energy

$$N = N_x = N_y = N_z = \frac{1}{3}$$

$$\omega = \frac{\omega_p}{\sqrt{3}}$$

• Ellipsoids, multiple plasmon energy

$$N_x + N_y + N_z = 1;$$

$$\mathcal{W}_{x} = \mathcal{W}_{p}\sqrt{N_{x}}$$
$$\mathcal{W}_{y} = \mathcal{W}_{p}\sqrt{N_{y}}$$
$$\mathcal{W}_{z} = \mathcal{W}_{p}\sqrt{N_{z}}$$

#### Polarization of a general ellipsoid

$$\mathbf{P} = \boldsymbol{\chi} \mathbf{E}$$

$$\mathbf{E} = \mathbf{E}_0 + \mathbf{E}_1 = \mathbf{E}_0 - N\mathbf{P}$$

$$\mathbf{P} = \boldsymbol{\chi} \mathbf{E} = \boldsymbol{\chi} \left(\mathbf{E}_0 - N\mathbf{P}\right)$$

$$\mathbf{P} = \frac{\boldsymbol{\chi}}{1 + N\boldsymbol{\chi}} \mathbf{E}_0$$
Net result of collective response

Plasmon excitation corresponds to zero of the denominators Its excitation energy is shape dependent because of the depolarization factor N

## Light-particle interaction in general

• Solving the inhomogeneous Maxwell equation in the presence of nanoparticles

$$\nabla \wedge (\nabla \wedge \mathbf{E}(\mathbf{r}, \omega)) - \frac{\omega^2}{c^2} \varepsilon(\mathbf{r}, \omega) \mathbf{E}(\mathbf{r}, \omega) = 0$$

- To account for light interacting with nanoparticles
- General solutions, Mie theory, including the magnetic field components
- A simpler case of dipole plasmon mode is presented next

## Dipole mode

- Quasi-static approximation
  - Easily satisfied for nanoparticles at visible range (wavelength ¬500nm)
  - field can be considered to be spatially uniform apart from a time varying factor
- Electrostatic can apply

$$\mathbf{k} \cdot \mathbf{r} \le 1 \quad \frac{2\pi a}{\lambda} \le 1$$
$$\mathbf{E}_0 e^{i\mathbf{k} \cdot \mathbf{r} - \mathbf{i}\boldsymbol{\sigma}\mathbf{t}} \approx \mathbf{E}_0 e^{-\mathbf{i}\boldsymbol{\sigma}\mathbf{t}}$$

 $\nabla \cdot \mathbf{D} = \nabla \cdot \left( \boldsymbol{\varepsilon} \mathbf{E} \right) = 0$ 



#### Solutions in spherical coordinates

$$\phi_{in} = \frac{-3\varepsilon_m}{\varepsilon + 2\varepsilon_m} E_0 r \cos \theta$$
$$\phi_{out} = -E_0 r \cos \theta + \frac{\varepsilon - \varepsilon_m}{\varepsilon + 2\varepsilon_m} E_0 \frac{a^3}{r^3} \cos \theta$$

$$= -E_0 r \cos \theta + \frac{\mathbf{p} \cdot \mathbf{r}}{4\pi \varepsilon_0 \varepsilon_m r^3}$$
light field

$$\mathbf{p} = \boldsymbol{\varepsilon}_0 \boldsymbol{\varepsilon}_m \boldsymbol{\alpha} \mathbf{E}_0$$

Induced dipole

#### Enhanced polarizability at dipole plasmon resonance

$$\alpha = 4\pi a^3 \frac{\varepsilon - \varepsilon_m}{\varepsilon + 2\varepsilon_m}$$

• Enhancement at Fröhlich condition

$$\mathcal{E} = -2\mathcal{E}_m$$

• For Drude metal, corresponding to nanoparticle plasmon excitation (dipole mode)

$$\omega = \frac{\omega_p}{\sqrt{3}}$$

## Size dependence

- Not implicitly
- Explicitly
  - Size-dependent change in dielectric function of materials
    - Reduced valence electron density
    - Enhanced absorption due to Increased surface inelastic scattering

## Nanoplasmon-polarions

- Coupling of electromagnetic waves at far field to nanoparticle excitation at near field
- Solving the Maxwell's equation for both E and B-fields
- Absorption and radiation of light in nanoparticles

## The dichroic effect of the Lycurgus Cup (Novel pigments)





## Lycurgus Cup

- Roman glasswork (4<sup>th</sup> century AD) called 'Cage Cups' or diatreta
- A mythological frieze depicts King Lycurgus of Thrace being dragged to the underworld at the hands of Dionysus and his followers in the form of vine (sixth book of Homer's Lliad)
- Purchased from Rothschild for 2000 pounds in 1958 by the art fund for British Museum

## Science

- Electron microscopy reveals (silver alloyed) gold nanoparticles 70 nm in diameter
- Colour response different to that of gold in bulk
- Particles resonantly reflect green light (520-570nm)



Barber (1990) TEM images of the goldsilver alloyed nanoparticles embedded in the glass

#### Plasmonic waveguide

(Theory vs. experiments)





#### Light Bending

**Comparison of Photonics and Plasmonics** 







FIG. 2. Calculated power transmission coefficient  $\eta$  for nanoparticle chain-array corner and tee structures with 90° corners. The arrows indicate the direction of the power flow of longitudinal waves (L) and transverse waves (T). An  $\eta$  value of 1 corresponds to 100% transmission.

(Brongersma, 2000)

## Summary

- Particles Plasmons:
  - Collective excitation of valence electrons in a nanoparticles
  - Dipole plasmon conditions:
- Resonance energy depends on
  - Materials (valence electron density, in metals, spill out effect)
  - Shape and size
  - Surrounding media
- Nanoparticles can sustains dipole-like plasmon motion
  - Strong scatter of light (decorative windows and glasses)
  - Coupled plasmons of nanoparticles
    - Change of frequency (plasmon hybridization)
    - Sub-wavelength energy transfer of electromagnetic waves at optical frequency (plasmonics)

## Further readings

 Maier *et al*, 'Plasmonics- aroute to nanoscale optical devices' Advanced Materials, Vol. 13, p1501-1505