# An Investigation of Elementary Category Theory ... with Selected Applications in PM and TCS.

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#### Presentation Overview



Theoretical Underpinnings: Axiomatic Constructions [MD]

2 Category-Theoretic Interpretations of Familiar Structures [BB]

Further Applications: Functional Programming and  $\lambda$ -Calculus [OD]

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- The power of categories, and the associated theories, lie in their generality: objects can be any mathematically definable structure, and morphisms may be any process or algorithm by which you move from one object to another.
- At first glance, morphisms appear indistinguishable from functions. Whilst traditional sets and their functions certainly do form a category, as we will see later, the expanse of Category Theory extends far beyond naive Set Theory!

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#### The Intuition: What are Categories? (2)

A useful feature in Category Theory arrives in the form of *commutative diagrams*. Objects are shown as labels, and morphisms are shown, intuitively, as directed arrows between their domain (source object) and codomain (destination object).

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Figure 1.1: A commutative diagram for a simple, four-object category.

Identity morphisms are shown explicitly here, however they are usually omitted to maintain brevity.

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- Each object A must have an identity morphism  $1_A \colon A \to A$ .
- The composition of morphisms, where applicable, must be an associative operation.
- The usual unit law must hold:  $f \circ 1_A = f = 1_B \circ f$  for all  $f : A \to B$ .

Being able to define multiple categories, it would be useful to have a method of going between them. Just as *functions* serve as mappings between sets, and *linear maps* serve as mappings between vector spaces, *functors* can define relationships between categories.

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  - Solution Preservation of composition:  $F(f \circ g) = F(f) \circ F(g)$

A pair of connected commutative diagrams can pictorially demonstrate the role of a functor  $F: \mathcal{C} \to \mathcal{D}$ :



Figure 1.2: A functor F between simple categories C and D

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• An *endofunctor* is a functor whose domain and codomain is the same. This concept is simple, but it will become very important shortly!

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- The objects of C × D have the form (c, d) for c ∈ ob C and d ∈ ob D. Morphisms have the form (f,g): (c, d) → (c', d'), where f: c → c' ∈ ob C and g: d → d' ∈ ob D.

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- $C \times D$  can be easily shown to satisfy the category axioms; hence,  $\times$  is capable of producing a new category.

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- $C \times D$  can be easily shown to satisfy the category axioms; hence,  $\times$  is capable of producing a new category.
- We also have two obvious *projection functors*  $\pi_{\mathcal{C}}$  and  $\pi_{\mathcal{D}}$  from the product to the original constituent categories:

$$\mathcal{C} \xleftarrow[\pi_{\mathcal{C}}]{\pi_{\mathcal{C}}} \mathcal{C} \times \mathcal{D} \xrightarrow[\pi_{\mathcal{D}}]{\pi_{\mathcal{D}}} \mathcal{D}$$

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- Consider categories C, D, and functors F, G: C → D. Then, consider the category formed with these functors as objects. Denote this with Fun (C, D).
- The formal definition of natural transformations is slightly technical, however, for intuition, it suffices to think of natural transformations as morphisms between functors in **Fun**  $(\mathcal{C}, \mathcal{D})$ .

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- The formal definition of natural transformations is slightly technical, however, for intuition, it suffices to think of natural transformations as morphisms between functors in **Fun** (C, D).
- As usual, these morphisms meet certain coherence conditions; most of the time these are trivially satisfiable.

#### Presentation Overview

#### Theoretical Underpinnings: Axiomatic Constructions [MD]

#### 2 Category-Theoretic Interpretations of Familiar Structures [BB]



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- Let A, B, C, D be sets, and let f, g, h be (set-theoretic) functions such that f: A → B, g: B → C, and h: C → D.
- Immediately, we have that

$$(f \circ g) \circ h = f \circ (g \circ h),$$

due to the normal associativity property of function composition. We also have the obvious identity morphism  $i \in hom(A, A)$  for some object A, henceforth denoted as  $1_A$ .

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#### Category of Sets

- Let A, B, C, D be sets, and let f, g, h be (set-theoretic) functions such that f: A → B, g: B → C, and h: C → D.
- Immediately, we have that

$$(f \circ g) \circ h = f \circ (g \circ h),$$

due to the normal associativity property of function composition. We also have the obvious identity morphism  $i \in hom(A, A)$  for some object A, henceforth denoted as  $1_A$ .

• Hence, the category axioms are satisfied, and there is a category whose objects are sets and morphisms are the functions between those sets. We'll denote this category **Set** moving forwards.

#### Category of Partially Ordered Sets ("Posets")

 A *poset* is a set A with a relation, ∼<sub>A</sub>, that is reflexive, transitive, and antisymmetric.

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• We have a category **Pos** whose objects are posets and morphisms are monotone maps between those posets. The identity morphism exists for all objects due to the reflexivity of  $\sim_A$ , and composition follows similarly due to transitivity.

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- Analogous connexions can be drawn for sets equipped with preording relations (**Ord**), pointed sets (**Based**), etc.

### Category of Vector Spaces

• We have a category, *K*-**Vect**, whose objects are *vector spaces* over some field *K* and morphisms are *linear mappings* between those vector spaces.

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- We take a linear map to be a function, *f*, between two vector spaces, such that vector addition and scalar multiplication in *K* is preserved. That is,

$$f(\vec{u} + \vec{v}) = f(\vec{u}) + f(\vec{v}); \qquad [Additivity] \\ f(\lambda \vec{u}) = \lambda f(\vec{u}), \qquad [Homogeinity]$$

where  $\vec{u}, \vec{v} \in K^n$  and  $\lambda \in K$ .

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where  $\vec{u}, \vec{v} \in K^n$  and  $\lambda \in K$ .

 This only applies to *finite-dimensional* vector spaces. Banach spaces and Functional Analysis are out of the scope of this talk! (n ∈ N)

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### The Category of Deduction and Deducability

All of these examples are taken, more or less directly, from constructs expressable purely in non-categorical/algebraic terms. The power of Category Theory lies in its ability to abstract arbitrarily, so what type of non-algebraic structures might we want to represent?

### The Category of Deduction and Deducability

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• How about the Category of Deducability? Objects are mathematical statements embedded in some proof system, and morphisms represent *the existence of a deduction*, in a fixed theory, between antecedents and consequents.

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- How about the Category of Deducability? Objects are mathematical statements embedded in some proof system, and morphisms represent *the existence of a deduction*, in a fixed theory, between antecedents and consequents.
- A richer category is the Category of Deduction, **Deduce**. For every pair of objects, a corresponding morphism in the homset represents a *specific manner of deduction* between its domain and codomain. Deductive cancelling is equivalent to morphism composition!

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## The True Isomorphism! (1)

Although pervasive throughout Abstract Algebra and Set Theory, the "correct" definition of a general isomorphism is expressed in purely category-theoretic terms, demonstrating the existence of an *undo* morphism, such that we have elements in hom(A, C) and hom(C, A).

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Figure 2.1: f and i are isomorphisms; A and C are isomorphic.

Isomorphisms are easy to spot with well-drawn commutative diagrams!

< <p>Image: A transformed and transformed and

# The True Isomorphism! (2)

In certain categories, this isomorphic equivalence can be used to deduce particular properties of objects in the corresponding category. Here we consider the Category of Deduction, **Deduce**:

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Figure 2.2:  $\phi$  and  $\theta$  are logically equivalent through deductions  $[\alpha, \beta]$  and  $\gamma$ .

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Figure 2.2:  $\phi$  and  $\theta$  are logically equivalent through deductions  $[\alpha, \beta]$  and  $\gamma$ .

Using deductive cancelling,  $\alpha$  and  $\beta$  can be forged into a single morphism, with  $\psi$  being embedded into the environmental axioms of  $\phi$ . Given our knowledge of the nature of ob (**Deduce**), we know that

$$(\phi \vdash \theta) \land (\theta \vdash \phi) \iff \phi \equiv \theta \iff \phi \cong \theta.$$

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#### Presentation Overview



Theoretical Underpinnings: Axiomatic Constructions [MD]

2 Category-Theoretic Interpretations of Familiar Structures [BB]

3) Further Applications: Functional Programming and  $\lambda$ -Calculus [OD]

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• A base category  $\mathcal{C}_0$ 

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Then,  $C = (C_0, \otimes, I, \alpha, \lambda, \rho)$ , or just  $C = (C_0, \otimes, I)$ .

Figure 3.1: Associativity induced by  $\alpha$ 

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$$\begin{array}{cccc} [(A \otimes B) \otimes C] \otimes D & \stackrel{\alpha}{\longrightarrow} & (A \otimes B) \otimes (C \otimes D) & \stackrel{\alpha}{\longrightarrow} & A \otimes [B \otimes (C \otimes D)] \\ & & & & & & & & & \\ \alpha \otimes \operatorname{id} D \downarrow & & & & & & & \\ [A \otimes (B \otimes C)] \otimes D & & & & & & & & & \\ \end{array}$$

Figure 3.1: Associativity induced by  $\alpha$ 



Figure 3.2: Left- and right-identities induced by  $\lambda$  and  $\rho$ 

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Elementary Category Theory

Spring Term, 2023

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## Monoids (1)

A monoid  $(M, \mu, \eta)$  is composed of:

• Some object  $M \in \mathsf{ob}\,\mathcal{C}_0$ 

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- An associated "unit" identity  $\eta \in \hom_{\mathcal{C}}(I, M)$ .

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# Monoids (2)



Figure 3.3: Associativity of the monoid

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# Monoids (2)



Figure 3.4: Left- and right-identities of the monoid

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## Functional Programming Languages

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- How can monoids and monodial categories help us with printing "Hello World"?

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Let's consider an example: the category of *endofunctors*! We have already encountered endofunctors in the first section.

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- We can specify the base category  $C_0$  with **Endo** ( $C_0$ ).

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The *Haskell* language is a useful demonstration tool, however the type systems of most languages will be equally valid for these purposes. (Early versions of Haskell weren't capable of I/O!)

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- What about Endo(Hask)?
- Its objects are endofunctors on **Hask**, and its morphisms are natural transformations between these functors.
- Thus, endofunctors on **Hask** with natural transformations  $\mu$  and  $\eta$  form monads.

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#### In Closing: Practical Notes

We have established a tangential, perhaps contrived, link between Category Theory and functional programming, the latter of which is based on the  $\lambda$ -calculus. Why is this interdisciplinary observation useful?

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- In practice, monads allow stateful calculation. Unlike the mindless emulation of imperative languages, this is achieved without breaking function purity. This can be seen intuitively as *threading state though a chain of functions*.

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#### Any Questions?



"The Categorical Snake of Morphistic Infinitude"

Dixon.	Drurv &	∛ Brool	k.

Elementary Category Theory

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