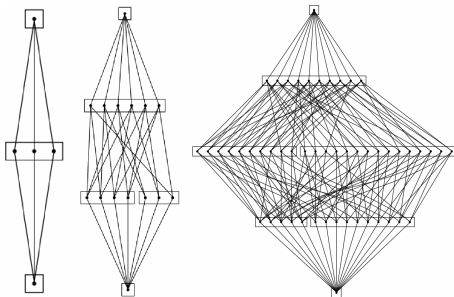


# Computing a finite semigroup

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# Some questions?

**Aim:** to present some algorithms to compute finite semigroups.

We must address the following questions:

- how is the semigroup **given**?
- what are we trying to **compute**?
- what is the **complexity** of the algorithms?

Some things the talk is not about:

- programming issues;
- data structures;
- implementations;
- user interfaces.

# GAP

## A prelude to some answers

GAP is a free, open system for **computational discrete mathematics**, in particular group theory.

**free** GAP is can be downloaded from [www.gap-system.org](http://www.gap-system.org)

**free** GAP (as of version 4.3) is released under the GPL.

**open** the source code is completely available.

**open** mechanism for third-party contributions, and distribution.

GAP runs on (almost) every platform.

Estimated to have **thousands** of users world-wide.

# Why compute?

- perform low-level calculations such as **multiplication**, **inversion**, and so on;
- suggests new **theoretical results**;
- obtain **counter-examples**;
- gain more **detailed understanding** of the objects under consideration;
- perform more **intricate** calculations than possible by hand.



Even computing small examples by hand can exceed human patience.

# Insert semigroup into computer...

## How is a semigroup given?

There are 3 main ways to define a semigroup to a computer:

**Cayley table:** ...;

**Finite presentation:** words in generators and relations i.e.

$$\langle e, f \mid e^2 = e, efe = fe, f^2e = fe, f^3 = f, fef^2 = fe \rangle.$$

**Generators:** as a subsemigroup of a larger semigroup such as transformations, matrices, binary relations, partitions, and so on ...

In this talk, we will deal (almost) exclusively with the latter.

# Enumeration of semigroups

$n$	number of semigroups	
0	1	
1	1	
2	4	
3	18	
4	126	(Forsythe '54)
5	1160	(Motzkin-Selfridge '56)
6	15 973	(Plemmons '66)
7	836 021	(Jürgensen-Wick '76)
8	1 843 120 128	(Satoh-Yama-Tokizawa '94)
9	52 989 400 714 478	(Distler-Kelsey '11)
10	12 418 001 077 381 302 684	(Distler-Kelsey '13)
11	??	(Everyone '13)

The semigroups of orders 1 to 8 are available in the GAP package Smallsemi available at [tinyurl.com/smallsemi](http://tinyurl.com/smallsemi).

# The semigroups of order 2, 3 and 4

	a	b
a	a	a
b	a	a

	a	b
a	a	b
b	b	a

	a	b
a	a	a
b	a	b

	a	b
a	a	a
b	b	b

	a	b	c
a	a	a	a
b	a	a	a
c	a	a	a

	a	b	c
a	a	a	c
b	a	a	c
c	c	c	a

	a	b	c
a	a	b	b
b	b	a	a
c	b	a	a

	a	b	c
a	a	a	a
b	a	a	a
c	a	a	b

	a	b	c
a	a	a	a
b	a	a	a
c	a	a	c

	a	b	c
a	a	a	a
b	a	a	a
c	a	b	c

	a	b	c
a	a	a	a
b	a	a	a
c	c	c	c

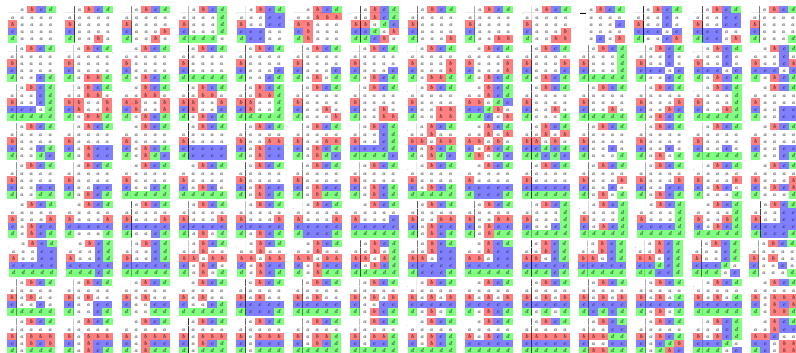
	a	b	c
a	a	a	a
b	a	a	b
c	a	b	c

	a	b	c
a	a	b	c
b	a	b	c
c	c	c	c

	a	b	c
a	a	a	a
b	a	a	a
c	a	a	c

	a	b	c
a	a	b	c
b	b	b	b
c	b	b	b

	a	b	c
a	a	b	c
b	b	c	a
c	c	a	b



# Fundamental tasks

What are we trying to compute anyway?

**INPUT:** a list of  $x_1, \dots, x_m$  (in the universe) generating a semigroup  $U$ .

## OUTPUT/TEST:

- the size of  $U$ ;
- membership in  $U$ ;
- factorise elements over the generators;
- the number of idempotents ( $x^2 = x$ );
- the maximal subgroups;
- the ideal structural of  $U$  (i.e. Green's relations);
- is  $U$  a group? an inverse semigroup? a regular semigroup?



# The universe

Transformations, partial perms, matrices, partitions...

The **full transformation monoid** is just the monoid of all transformations under composition of functions.

A **symmetric inverse monoid** is just the monoid of all partial permutations under composition of functions.

A **general linear monoid** of  $n \times n$  matrices over a finite field.

A **partition monoid** is the monoid of partitions...

A **Rees 0-matrix semigroup** ...

# Excluded middle

**Exhaustive:** store the elements

- be happy with relatively small semigroups
- SgpWin by Don McAlister (2006?)
- Semigroupe by Jean-Eric Pin (2009)

**Non-exhaustive:** don't store the elements

- Lallement-McFadden (1990)
- Monoid package for GAP3 by Linton-Pfeiffer-Robertson-Ruškc (1997)
- Semigroups package for GAP4 by me (2013)

## The limitations of exhaustive enumeration

$n$	# transformations	memory	unit
1	1	16	bits
2	4	16	bytes
3	27	162	bytes
4	256	2	kb
5	3 125	$\sim 30$	kb
6	46 656	$\sim 546$	kb
7	823 543	$\sim 10$	mb
8	16 777 216	$\sim 256$	mb
9	387 420 489	$\sim 6$	gb
10	10 000 000 000	$\sim 186$	gb
11	285 311 670 611	$\sim 6$	tb
12	8 916 100 448 256	$\sim 194$	tb
13	302 875 106 592 253	$\sim 7$	pb

Storing the elements of a semigroup internally quickly becomes impractical.

# A simple example

Let  $S$  be the semigroup generated by the transformations

$$x_1 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 3 \end{pmatrix} \quad \text{and} \quad x_2 = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 3 & 1 \end{pmatrix}.$$

- How many elements does  $S$  have?
- How many idempotents does  $S$  have?
- What are its maximal subgroups?

# An exhaustive algorithm

*S* acting on itself by right multiplication

**Input:** A subsemigroup  $S = \langle x_1, x_2, \dots, x_m \rangle$  of a larger semigroup.

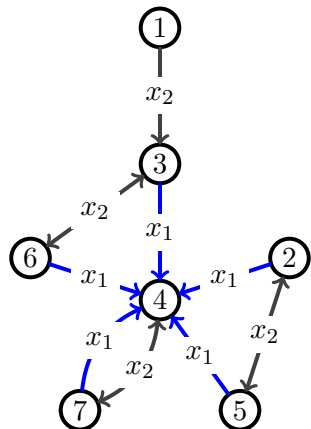
**Output:** The elements of  $S$ .

Suppose  $x_1, x_2, \dots, x_m$  are distinct. Here's the algorithm:

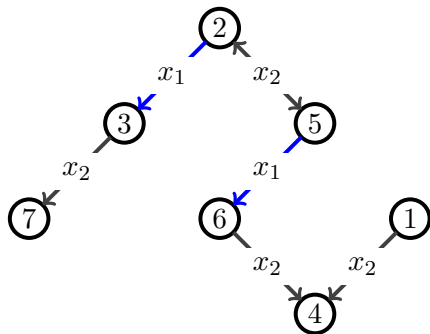
```
1:  $X := [x_1, x_2, \dots, x_m]$ 
2: for  $y \in X$  do
3:   for  $i \in \{1, \dots, m\}$  do
4:     if  $yx_i \notin X$  then
5:       append  $yx_i$  to  $X$ 
6:     end if
7:   end for
8: end for
9: return  $X$ 
```

Pay closer attention...

...and we've found the Cayley graphs and a presentation



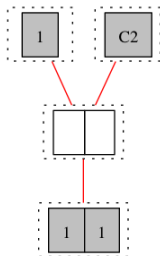
The right Cayley graph.



The left Cayley graph.

$$\langle e, f \mid e^2 = e, efe = fe, f^2e = fe, f^3 = f, fef^2 = fe \rangle \dots$$

... and the Green's structure



What's not so great, is that the algorithm spends lots of time:

- checking  $yx_i \notin X$ ;
- multiplying elements;
- uses too much memory.

The algorithm takes no advantage of the structure or representation of the semigroup.

# Non-exhaustive

## Overview

Let  $S$  be a finite regular semigroup and let  $U = \langle x_1, \dots, x_m \rangle \leq S$ .

We consider  $S$  **known** and  $U$  **unknown**.

We don't want to find or store the elements of  $U$ .

We want to **decompose**  $S$  into **blocks** so that:

- the blocks have some **uniform structure**;
- the blocks are **easy to compute** from the given generators;
- the **structure** of  $S$  is used;
- we take advantage of **computational group theory**.

Blocks = Green's  $\mathcal{R}$ -classes



## Actions and stabilisers

Suppose  $S$  **acts on the right** on a set  $\Omega$ . The **natural induced right action** of  $S$  on the power set  $\mathcal{P}(\Omega)$  is:

$$\Sigma \cdot s = \{ \alpha \cdot s : \alpha \in \Sigma \} \quad \text{for } \Sigma \subseteq \Omega \text{ and } s \in S$$

and we define  $s|_{\Sigma} : \Sigma \rightarrow \Sigma \cdot s$  by  $s|_{\Sigma} : \alpha \mapsto \alpha \cdot s$ .

The **stabiliser** of  $\Sigma$  under  $S$  is

$$\text{Stab}_S(\Sigma) = \{ s \in S^1 : \Sigma \cdot s = \Sigma \}.$$

Then the quotient of  $\text{Stab}_S(\Sigma)$  by the kernel of its action is isomorphic to

$$S_{\Sigma} = \{ s|_{\Sigma} : s \in \text{Stab}_S(\Sigma) \}$$

which is a subgroup of the symmetric group  $\text{Sym}(\Sigma)$  on  $\Sigma$ .

The **strongly connected component** (s.c.c.) of  $\alpha \in \Omega$  is

$$\{ \beta \in \Omega : \exists s, t \in S^1, \beta = \alpha \cdot s, \alpha = \beta \cdot t \}.$$

# Schreier's Theorem for Semigroups Actions

Suppose that  $S$  **acts on the right** on a set  $\Omega$ , and  $U$  is a subsemigroup of  $S$ .

If  $\Sigma \subseteq \Omega$ , then

$$S_\Sigma = \{ s|_\Sigma : s \in \text{Stab}_S(\Sigma) \}.$$

Proposition (Linton-Pfeiffer-Robertson-Ruškc '98)

Let  $\{\Sigma_1, \dots, \Sigma_n\}$  be an s.c.c. of the action of  $U$  on  $\mathcal{P}(\Omega)$ . Then:

- (i) for every  $i > 1$ , there exist  $u_i, v_i \in U$  such that  $\Sigma_1 \cdot u_i = \Sigma_i$ ,  $\Sigma_i \cdot v_i = \Sigma_1$ ,  $(u_i v_i)|_{\Sigma_1} = \text{id}_{\Sigma_1}$  and  $(v_i u_i)|_{\Sigma_i} = \text{id}_{\Sigma_i}$ ;
- (ii)  $U_{\Sigma_i}$  and  $U_{\Sigma_j}$  are isomorphic as permutation groups;
- (iii)  $U_{\Sigma_1} = \langle (u_i s v_j)_{\Sigma_1} : 1 \leq i, j \leq n, s \in X, \Sigma_i \cdot s = \Sigma_j \rangle$ .

## Action on $\mathcal{L}$ -classes

Let  $S$  be a finite regular semigroup and let  $U \leq S$ . Then  $U$  acts freely on the  $\mathcal{L}$ -classes of  $S$  by right multiplication ( $\mathcal{L}$  is a **right congruence**).

Proposition (East-Egri-Nagy-M-Péresse '13)

*Let  $x, y \in U$  be arbitrary and let  $x' \in S$  such that  $xx'x = x$ . Then:*

- (i)  $\{ L_y^S : y \in R_x^U \}$  is an s.c.c. of the action of  $U$  on  $S/\mathcal{L}$ ;
- (ii)  $L_x^S \cap R_x^U$  is a group under  $s * t = sx't$  that is isomorphic to  $U_{L_x^S}$ ;
- (iii)  $x\mathcal{R}^U y$  implies  $U_{L_x^S} \cong U_{L_y^S}$ ;
- (iv)  $|R_x^U| = |U_{L_x^S}| \cdot |\{ L_y^S : y \in R_x^U \}|$ ;
- (v) If  $L_x^S$  belongs to the s.c.c. of  $L_y^S$  under the action of  $U$  on  $S/\mathcal{L}$ , then  $|R_x^U| = |R_y^U|$ .

# So what?

For an  $\mathcal{R}$ -class of  $U \leq S$ , there are the actions of:

- $U$  on the  $\mathcal{L}$ -classes of  $S$  by right multiplication;
- the group  $U_L$  where  $L$  is an  $\mathcal{L}$ -class of  $S$ .

Suppose that  $\Omega$  is a set and  $\lambda : S \rightarrow \Omega$  such that:

- (i)  $|\Omega| = |S/\mathcal{L}|$ ;
- (ii)  $(x)\lambda = (y)\lambda$  if and only if  $L_x^S = L_y^S$  for all  $x, y \in S$ ;
- (iii)  $S$  acts on  $\Omega$  such that  $(x \cdot u)\lambda = (x)\lambda \cdot u$  for all  $x \in S$  and  $u \in U$ .

Then the actions of  $U$  on  $S/\mathcal{L}$  and  $\Omega$  are **isomorphic** via  $\lambda$ .

# Transformation semigroups

## Proposition

Let  $U$  be any subsemigroup of some  $T_n$  where  $n \in \mathbb{N}$ . Then:

- (i) the action of  $U$  on  $T_n/\mathcal{L}$  is isomorphic to the action of  $U$  on  $\mathcal{P}(\{1, 2, \dots, n\})$  defined by

$$X \cdot f = \{ (x)f : x \in X \};$$

- (ii) if  $L \in T_n/\mathcal{L}$ , then  $U_L$  acts faithfully on  $\text{im}(x)$  for all  $x \in L$ .

This is what:

- (i) Subsets of  $\{1, \dots, n\}$  are easier to compute with than  $T_n/\mathcal{L}$ ;  
(ii) For example, if  $x \in T_{10}$  and  $|\text{im}(x)| = 5$ , then

$$|L| = 5! * S(10, 5) = 5103000.$$

It is much easier to compute the action of  $U_L$  on  $\text{im}(x)$  than on  $L$ .

## Rees 0-matrix semigroups

Let  $S = \mathcal{M}^0[T : I, J; P]$  be a regular **Rees 0-matrix semigroup** over a permutation group  $G \leq S_n$  and  $|J| \times |I|$  matrix  $P = (p_{j,i})_{j \in J, i \in I}$ .

### Proposition

Let  $U$  be any subsemigroup of  $S$ . Then:

- (i) the action of  $U$  on  $S/\mathcal{L}$  is isomorphic the action of  $U$  on  $J \cup \{0\}$  defined by

$$0 \cdot (j, g, k) = 0 \cdot 0 = 0 = i \cdot 0, \quad i \cdot (j, g, k) = \begin{cases} k & \text{if } p_{i,j} \neq 0 \\ 0 & \text{if } p_{i,j} = 0 \end{cases}$$

- (ii) if  $L$  is any  $\mathcal{L}$ -class of  $S$ , then  $U_L$  acts faithfully on  $\{1, \dots, n\}$  by

$$m \cdot (i, g, j)|_L = m \cdot p_{j,i}g \quad \text{for all } m \in \{1, 2, \dots, n\}$$

is faithful.

# An algorithm

Let  $U = \langle X \rangle$  be a subsemigroup of a finite regular semigroup  $S$ .

Green's  $\mathcal{R}$ -relation is a **left congruence** on  $S$  and so  $S$  acts by left multiplication on  $\mathcal{R}$ -classes.

- 1: find  $(U)\lambda = \{(u)\lambda : u \in U\}$        $\triangleright$  **the standard orbit algorithm**
- 2: find the s.c.c.s of  $(U)\lambda$        $\triangleright$  **standard graph algorithms**
- 3:  $\mathfrak{R} \leftarrow X$        $\triangleright$   **$\mathcal{R}$ -class reps**
- 4: **for**  $r \in \mathfrak{R}$  **do**
- 5:     identify the s.c.c. of  $(r)\lambda$  in  $(U)\lambda$
- 6:     compute  $U_{L_x^S}$        $\triangleright$  **if we didn't already**
- 7:     **for**  $x \in X$  **do**
- 8:         **if**  $(xr, y) \notin \mathcal{R}^U$  for any  $y \in \mathfrak{R}$  **then**
- 9:             append  $xr$  to  $\mathfrak{R}$
- 10:         **end if**
- 11:     **end for**
- 12: **end for**

# Return

The output is:

- $\mathfrak{R}$  - the  $\mathcal{R}$ -class representatives of  $U$ ;
- data structures for the  $\mathcal{R}$ -classes;

The latter lets us calculate/test:

**size:**  $|U| = \sum_{x \in \mathfrak{R}} |R_x|;$

**membership:**  $x \in U$  if and only  $(x)\lambda \in (U)\lambda$  and  $(x'y)|_{L_y^S} \in U_{L_y^S}$  for some  $y \in \mathfrak{R}$ ;

**factorisation:** pay very very very close attention!

...