

# Geometry and the Word Problem for Special Monoids

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# Pretalk Preprints

The work in this talk is based on the following pre-prints:

- 1 arXiv:2011.04536 (*The Geometry of Special Monoids*)
- 2 arXiv:2011.09466 (*On the Word Problem for Special Monoids*)
- 3 arXiv:2102.00745 (*We'll get back to this one!*)

# Special Monoids

Every monoid/group can be expressed as a quotient of a free monoid/group. We write this as  $\text{Mon}\langle A \mid u_i = v_i \ (i \in I) \rangle$ , resp.  $\text{Gp}\langle A \mid u_i = v_i \ (i \in I) \rangle$ .

Note that  $\text{Mon}\langle A \mid u_i = v_i \ (i \in I) \rangle$  is different from  $\text{Mon}\langle A \mid w_i = 1 \ (i \in I) \rangle$ !

## Definition

A monoid is called *special* if it admits a presentation  $\text{Mon}\langle A \mid w_i = 1 \ (i \in I) \rangle$ .

Every group is a special monoid, but not every monoid is special.

## Examples

- 1 The *bicyclic monoid*  $\text{Mon}\langle b, c \mid bc = 1 \rangle$ .
- 2  $\mathbb{Z} \times \mathbb{Z} \cong \text{Mon}\langle a, b, a', b' \mid aba'b' = 1, aa' = 1, a'a = 1, bb' = 1, b'b = 1 \rangle$ .
- 3  $\text{Mon}\langle a, b \mid ab = a \rangle$  has **no special presentation**.

# The Word Problem

If  $M$  is presented by  $\text{Mon}\langle A \mid w_i = 1 \ (i \in I)\rangle$ , there is a natural homomorphism

$$\pi : A^* \rightarrow M.$$

**The Word Problem for  $\text{Mon}\langle A \mid w_i = 1 \ (i \in I)\rangle$**

INPUT : Two elements  $u, v \in A^*$ .

QUESTION : Is  $\pi(u) = \pi(v)$ ?

We say the word problem is *decidable* if there is an algorithm which always decides this question in finite time. Otherwise, the word problem is *undecidable*.

# Special Monoids

An element  $m \in M$  of a monoid is *invertible* if there exists some  $m' \in M$  such that

$$m'm = mm' = 1$$

i.e. if  $m$  is *left* and *right* invertible.

The *group of units*  $U(M)$  is the subgroup of all invertible elements of  $M$ .  
We always have  $1 \in U(M)$ .

## Theorem (Adjan, 1960)

Let  $M = \text{Mon}\langle A \mid w = 1 \rangle$  be a special one-relator monoid. Then

- 1 The word problem for  $M$  reduces to the word problem for  $U(M)$ .
- 2  $U(M)$  is a one-relator group.

# $k$ -relator Special Monoids

G. S. Makanin studied special monoids in his 1966 Ph.D. thesis.

## Theorem (Makanin, 1966)

Let  $M = \text{Mon}\langle A \mid w_1 = 1, w_2 = 1, \dots, w_k = 1 \rangle$  be a special  $k$ -relator monoid. Then

- 1 The word problem for  $M$  reduces to the word problem for  $U(M)$ .
- 2  $U(M)$  is a  $k$ -relator group.

Zhang later showed that the word problem for  $M$  reduces to the *identity problem* for  $M$ , i.e. the problem of deciding if  $u = 1$ .

Thus: special monoids *really* are like generalised groups!

# G. S. Makanin

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G. S. Makanin

ON THE IDENTITY PROBLEM FOR FINITELY  
PRESENTED GROUPS AND SEMIGROUPS

Dissertation for the degree of Candidate of Physical and Mathematical Sciences.

This translation can now be found at [arXiv:2102.00745](https://arxiv.org/abs/2102.00745)

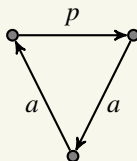
# Graphical Approach

Idea: study the graphical properties of a special monoid modulo its group of units.

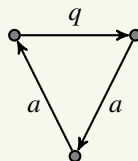
Consider  $\text{Mon}\langle a, p, q \mid apa = 1, aqa = 1 \rangle$ .

We can interpret these relations graphically as loops labelled by the relator words.

I.e.



$$apa = 1$$



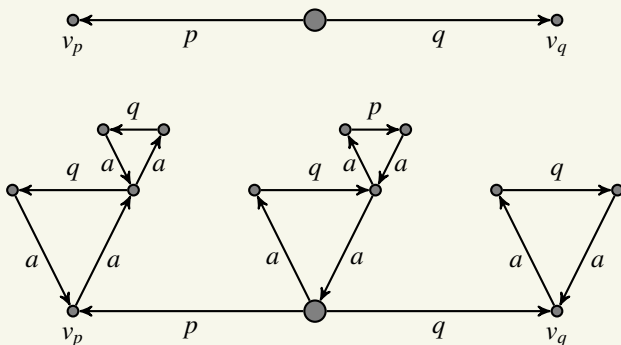
$$aqa = 1$$



# Equality in $M = \text{Mon}\langle a, p, q \mid apa = 1, aqa = 1 \rangle$ .

There is an isomorphism with  $a \mapsto -1$  and  $p, q \mapsto 2$  from  $M$  to  $\mathbb{Z}$ .

In particular,  $p = q$  in  $M$ . How do we see this graphically?



If we determinise this graph, we will identify  $v_p$  and  $v_q$ !

This is the graphical version of saying  $p = q$  in  $M$ .

## More General Case

Consider  $M = \text{Mon}\langle A \mid w_1 = 1, \dots, w_k = 1 \rangle$ .

Take a single vertex, add loops everywhere (infinite graph) and “fold” the result.

Call the resulting graph  $\mathfrak{R}_1(M)$  (this is the *Schützenberger graph of 1*).

### Theorem (Stephen 1987, Zhang 1992)

*If  $\mathfrak{R}_1(M)$  can be effectively constructed, then the word problem for  $M$  is decidable.*

So we really want to understand what  $\mathfrak{R}_1(M)$  is like!

### Proposition (Easy)

*The Schützenberger graph  $\mathfrak{R}_1(M)$  is isomorphic to the right Cayley graph of  $M$  induced on the set  $\mathcal{R}_1$ , the set of right invertible elements of  $M$ .*

Important for later:  $\mathfrak{R}_1(M)$  is deterministic!

## Context-free Graphs

Let  $\Gamma$  be a connected, locally finite, rooted (at 1), labelled, directed graph, and consider  $\Gamma$  as a metric space with its undirected edge metric  $d(u, v)$ . We define:

$$\Gamma^{(n)} := \text{subgraph of } \Gamma \text{ induced on } \{v \in V(\Gamma) \mid d(1, v) < n\}.$$

Let  $C$  be a connected component of  $\Gamma \setminus \Gamma^{(n)}$ .

A *frontier point* of  $C$  is a vertex  $u$  of  $C$  such that  $d(1, u) = n$ .

If  $v \in \Gamma$ , then let  $\Gamma(v)$  be the connected component of  $\Gamma \setminus \Gamma^{(d(1, v))}$  containing  $v$ . Let  $\Delta(v)$  be the frontier points of  $\Gamma(v)$ .

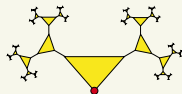
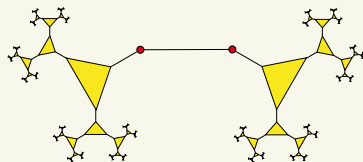
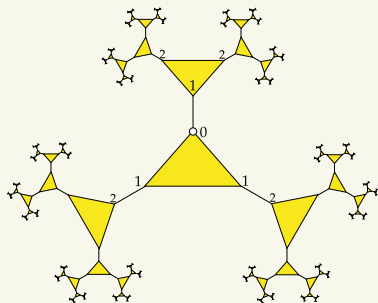
### Definition (End-isomorphism)

Let  $u, v \in V(\Gamma)$ . An *end-isomorphism* between the subgraphs  $\Gamma(u)$  and  $\Gamma(v)$  is a mapping  $\psi: \Gamma(u) \rightarrow \Gamma(v)$  such that

- 1  $\psi$  is a label-preserving graph isomorphism;
- 2  $\psi$  maps  $\Delta(u)$  onto  $\Delta(v)$ .

A graph  $\Gamma$  is **context-free** if there are only finitely many isomorphism classes of  $\Gamma(u)$  up to end-isomorphism.

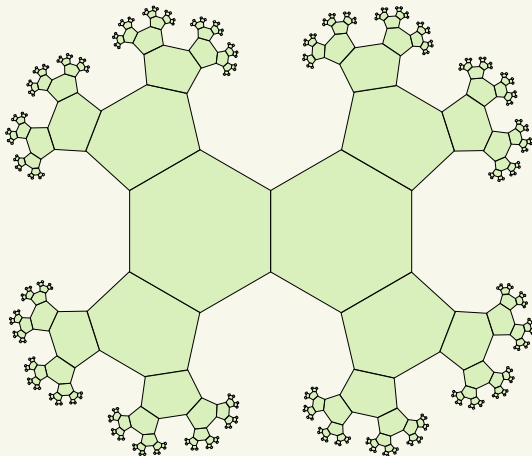
# Context-free Graphs II



This graph has two end-spaces (excluding  $\Gamma(1)$ ) up to end-isomorphism.  
 This is the Cayley graph  $\mathfrak{R}_1(G)$  of the modular group  $G = \text{PSL}_2(\mathbb{Z})$ .

$\text{PSL}_2(\mathbb{Z}) \rightsquigarrow j\text{-function} \rightsquigarrow \text{modular curves} \rightsquigarrow ??? \rightsquigarrow \text{Fermat's Last Theorem}$

# Arbres, Amalgames



This is the Cayley graph  $\mathfrak{R}_1(G)$  of  $G = \mathrm{SL}_2(\mathbb{Z})$ . It is context-free!

# Context-free Graphs III

There is a full characterisation of which groups have context-free  $\mathfrak{R}_1$  (Cayley graph).

## Theorem (Muller & Schupp, 1985)

*A group  $G$  has context-free  $\mathfrak{R}_1(G)$  if and only if  $G$  has a free subgroup of finite index.*

- 1  $\mathrm{PSL}_2(\mathbb{Z})$  has  $F_2$  of index 6.
- 2  $\mathrm{SL}_2(\mathbb{Z})$  has  $F_2$  of index 12.
- 3  $H * K$ , where  $H, K$  are finite groups, as this surjects onto  $H \times K$ .

## Theorem (Muller & Schupp, 1985)

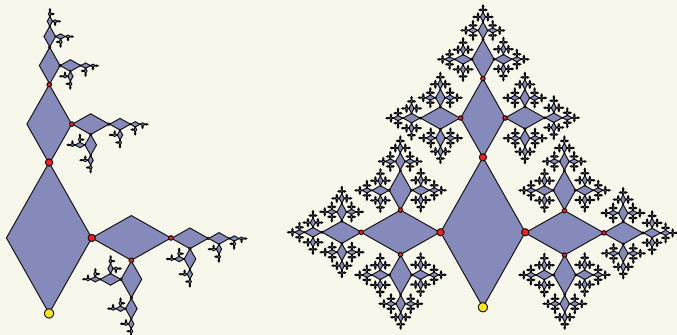
*If  $\Gamma$  is a context-free graph, then the monadic second-order theory of  $\Gamma$  is decidable.*

For graphs with a high degree of symmetry, the above is an equivalence.

# Trees of Copies

A way to build a context-free graph, starting from a context-free graph.

Starting with a graph  $\Gamma$  and a set of *attachment points*  $S \subseteq V(\Gamma)$ , construct  $\text{Tree}(\Gamma, S)$ .



## Proposition (NB, 2020)

*If  $\Gamma$  is context-free, then  $\text{Tree}(\Gamma, S)$  is context-free for any choice of attachment points.*

# Bounded Folding

$\text{Tree}(\Gamma, S)$  is generally not deterministic.  
So when is  $\text{Tree}(\Gamma, S)_{\text{det}}$  context-free?

## Theorem (NB, 2020)

Let  $\Gamma$  be a graph, and  $S$  a set of attachment points. Assume that the following hold:

- 1  $\Gamma$  is deterministic and context-free;
- 2  $\Gamma$  satisfies the “bounded folding condition”.

Then  $\text{Tree}(\Gamma, S)_{\text{det}}$  is context-free.

The proof is by horrible and lengthy induction!

Key point: the “bounded folding condition” is **local** to  $\Gamma$ !



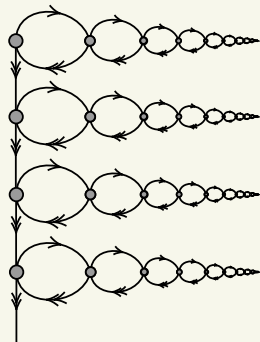
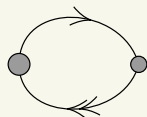
# The graph $\mathcal{U}$

We now interpret  $U(M)$  graphically as a graph  $\mathcal{U}$ .

Idea: Turn the Cayley graph of  $U(M)$  into an induced subgraph of  $\mathfrak{R}_1(M)$ .

Let  $M = \text{Mon}\langle b, c \mid bc = 1 \rangle$ . Then  $1 = U(M) \cong \text{Gp}\langle b_1 \mid b_1 = 1 \rangle$  via  $b_1 \mapsto bc$ .

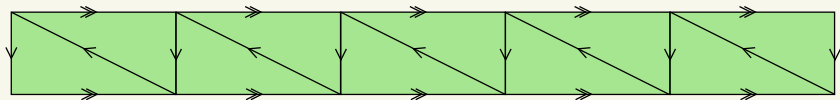
Construct a tree of copies of "image" of the Cayley graph of  $U(M)$  under this map.



Thus the bicyclic monoid is made up of copies of its group of units!

## The graph $\mathcal{U}$ , cont.

Let  $M = \text{Mon}\langle a, b, c \mid abaca = 1 \rangle$ . Then  $U(M) \cong \text{Gp}\langle b_1, b_2 \mid b_1 b_2 b_1 = 1 \rangle \cong \mathbb{Z}$ .



This is the Cayley graph of  $U(M)$ .



The graph  $\mathcal{U}$  looks very similar to the Cayley graph, but with subdivided edges.

## $\mathfrak{R}_1$ from $\mathfrak{U}$

This construction can be applied to any special monoid.

### Proposition (NB, 2020)

Let  $M$  be a special monoid. There exists an induced subgraph  $\mathfrak{U}$  of  $\mathfrak{R}_1(M)$  such that

- 1  $\mathfrak{U}$  is a context-free graph if and only if  $U(M)$  is context-free;
- 2  $\mathfrak{U}$  satisfies the bounded folding condition.

As in the bicyclic monoid case, we can construct  $\mathfrak{R}_1(M)$ .

### Theorem (NB, 2020)

Let  $M$  be a special monoid.

- 1 There exists a set  $S$  of attachment points such that  $\mathfrak{R}_1(M) \cong \text{Tree}(\mathfrak{U}, S)_{\text{det}}$ .
- 2 The determinisation of  $\text{Tree}(\mathfrak{U}, S)$  is effectively constructible.

In particular, if  $\mathfrak{U}$  is effectively constructible, then  $\mathfrak{R}_1$  is.

New (graphical) proof of the word problem reducing to the group of units.

# Monoid Muller-Schupp

Thus we can assemble the following main theorem of arXiv:2011.04536.

## Theorem (NB, 2020)

*If  $M$  is a special monoid, then  $\mathfrak{R}_1(M)$  is a context-free graph if and only if the group of units  $U(M)$  has a free subgroup of finite index.*

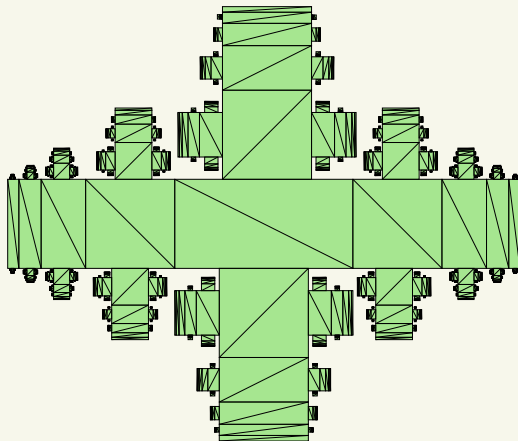
## Proof.

( $\Leftarrow$ ) If  $U(M)$  is virtually free, then  $U(M)$  is context-free (Muller-Schupp). Thus  $\mathfrak{U}$  is context-free, and so  $\text{Tree}(\mathfrak{U}, S)$  is context-free. As  $\mathfrak{U}$  satisfies bounded folding,  $\text{Tree}(\mathfrak{U}, S)_{\text{det}}$  is also context-free, and  $\mathfrak{R}_1 \cong \text{Tree}(\mathfrak{U}, S)_{\text{det}}$ .

( $\Rightarrow$ ) The group  $U(M)$  is the full automorphism group of  $\mathfrak{R}_1$  as 1 is an idempotent (Stephen). The automorphism group of any context-free graph is itself context-free. By Muller-Schupp,  $U(M)$  is virtually free.  $\square$

All groups are special monoids, so this is a proper generalisation of Muller-Schupp.

# Infinite Cyclic $U(M)$



This is  $\mathfrak{R}_1$  of  $\text{Mon}\langle a, b, c \mid abaca = 1 \rangle$ .

The central strip is  $\mathfrak{L}$ , with  $U(M) \cong \text{Mon}\langle b_1, b_2 \mid b_1 b_2 b_1 = 1 \rangle \cong \mathbb{Z}$ .

As  $U(M)$  is free, the theorem tells us that  $\mathfrak{R}_1$  is a context-free graph.

# More Characterisation

## Theorem (NB, 2020)

*Let  $M$  be a f.p. special monoid. Then the following are equivalent:*

- 1  $U(M)$  is virtually free.
- 2 The right Cayley graph of  $M$  is context-free.
- 3 The right Cayley graph of  $M$  is quasi-isometric to a tree.
- 4 The monadic second-order theory of the right Cayley graph of  $M$  is decidable.
- 5 The word problem for  $M$  is a context-free language.

We can deduce decidability results in this way!

## Corollary (NB, 2020)

*Let  $M$  be a special monoid with virtually free group of units. Then the rational subset membership problem for  $M$  is decidable.*

Previously only known for  $\text{Mon}\langle b, c \mid bc = 1 \rangle$ .

Thank you!